

# PERIODIC REPORTING ON THE APPLICATION OF THE WORLD HERITAGE CONVENTION

## SECTION I

### APPLICATION OF THE WORLD HERITAGE CONVENTION BY THE STATE PARTY

#### QUESTIONNAIRE

#### WORD VERSION<sup>1</sup>

#### Background

The twenty-ninth General Conference of UNESCO, held in 1997, decided to activate Article 29 of the World Heritage Convention concerning the submission of periodic reports on the state of implementation of the World Heritage Convention (Section I) and the State of Conservation of World Heritage properties (Section II). The national authorities are invited to report on Section I, while Section II shall be prepared for each property inscribed on the World Heritage list by the person(s) directly in charge of the property's management.

The periodic reports prepared by the States Parties will serve the following objectives:

- to assess State Party application of the World Heritage Convention,
- to assess the state of conservation of World Heritage sites conducted by the State Party,
- to help focus the Committee's as well as the State Party's future activities and funds,
- to strengthen sub-regional and regional co-operation between States Parties.

#### The Periodic Reporting Questionnaire

In 1998, at its twenty-second session, the World Heritage Committee approved *Explanatory Notes*, designed to be read in conjunction with the *Periodic Reporting Format*, in order to outline the information expected to flow from the periodic reporting exercise. To facilitate the preparation of the report, a *Questionnaire* was developed that the States Parties are encouraged to use. It closely follows the subjects referred to in the *Explanatory Notes*, but in contrast to the latter splits the subjects up into short questions to be answered in a few sentences or paragraphs. A second type of question requires the indication of YES or NO by crossing the appropriate answer. To make the reporting results meaningful every one of these

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<sup>1</sup> States Parties are strongly encouraged to fill in the ELECTRONIC version of this questionnaire directly at <http://whc1.unesco.org/periodicreporting>. Please contact the Europe Unit of the World Heritage Centre for information about focal points and passwords. This information is also available on the web page.

questions has to be answered. If no answer is possible, the reasons should be given. If the available space is not sufficient for the answer, the response should be continued on a separate sheet of paper, clearly indicating the number of the question the text refers to (e.g. 02.05).

The word version of the *Format* and the *Explanatory Notes* are attached to the questionnaire as annex for consultation.

### **Benefits for the States Parties**

The *Questionnaire* was developed in such a way as to allow to extract and compile or compare relevant information from different States Parties or properties, facilitating the process of preparing the regional synthesis report to be presented to the World Heritage Committee. The YES / NO questions make it possible to evaluate the reports quantitatively, but only the details to be supplied in the related 'open question', make the answers meaningful and can be the basis for concerted actions to preserve the heritage of humankind located in a State Party for its transmission to future generations.

The information collected in this way will help the States Parties to assess their own strengths and weaknesses concerning the implementation of the World Heritage Convention, putting them in a position to (re)define policies and if necessary to request assistance in order to finance projects and / or training. On the other hand it allows the World Heritage Committee and its Secretariat to collect information needed to devise *Regional Action Plans*, give well-informed advice to States Parties and to focus funds (both from the World Heritage Fund and extra-budgetary funds) as well as attention on the region(s), States Parties and / or properties that need the collective support of the international community.

The preparation process of the regional periodic report will furthermore enhance regional co-operation through information meetings as well as through the better availability of regularly up-dated information on activities as well as contact addresses etc. The identification of the State Party's strengths makes it possible to exchange experiences and look for solutions to problems (e.g. of site conservation) identified within the region.

### **Conclusion**

Periodic Reporting is a participatory exercise, aiming to collect information on World Heritage related issues on a regional, national as well as on the property level. The individual State Party reports will be collated into a regional synthesis report to be presented to the World Heritage Committee. This information will enhance cooperation between the Committee and the States Parties and allow to focus funds and activities more efficiently, allowing the States Parties to protect their heritage of outstanding universal value more effectively for transmission to future generations.

**SECTION I: APPLICATION OF THE WORLD HERITAGE CONVENTION BY THE  
STATE PARTY**

**EXECUTIVE SUMMARY**

1. Introduction
2. Identification of cultural and natural heritage properties
3. Preparation of The Tentative List
4. Nomination of cultural and natural heritage properties
5. Protection, conservation and presentation of the cultural and natural heritage
6. Status of services
7. Scientific, technical studies and research
8. Financial Resources
9. Training
10. International Co-operation
11. Information, awareness building and education
12. Conclusions and recommended action
13. Assessment of the Section I Periodic Reporting exercise

*ANNEX: Format for Periodic Reporting and Explanatory notes*

<b>01</b>	<b>Introduction</b>	
01.01	State Party:	
01.02	Year of adhesion to the Convention:	
01.03	Organisation(s) or entity(ies) responsible for the preparation of this report:	
	Organisation name and department:	
	Person responsible:	
	Email address:	
	Tel:	
	Fax:	
	Street and number:	
	Postal code and city:	
	State and province:	
	Country:	
	Website:	
	Short description of responsibilities:	
	Add more, indicating same information as above:	
01.04	Date of the report:	
	Created:	
	Last modified:	
	Submitted:	
01.05	Signing on behalf of the State Party:	
	Professional title:	
	First name:	
	Last name:	
	Signature	

<b>02</b>	<b>Identification of Cultural and Natural Properties<sup>2</sup></b>	
02.01	Has the State Party established inventories of cultural and natural properties? <sup>3</sup>	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
02.02	If so, at what level(s) are they compiled and maintained?	<input type="checkbox"/> National
		<input type="checkbox"/> Regional <sup>4</sup>
		<input type="checkbox"/> Local
		<input type="checkbox"/> Other (please specify below)
02.03	If yes, have they been used as a basis for selecting World Heritage sites?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
02.03	Other comments:	

<b>03</b>	<b>The Tentative List<sup>5</sup></b>	
03.01	Has the State Party submitted a Tentative List since it became a contracting party to the World Heritage Convention? <sup>6</sup>	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
03.02	If yes, please provide the following information.	
	Date of submission of original list:	
	Date of submission of any amendments or revised list:	
03.03	Who was responsible for identifying the properties for the Tentative List? <sup>7</sup>	
	National:	
	Provincial/territorial/regional:	
	Local:	
	Other:	

<sup>2</sup> Questions 2 to 9 refer in particular to Articles 3, 4 and 11 of the World Heritage Convention with regard to the identification of cultural and natural heritage and the nomination of properties for inscription on the World Heritage List.

<sup>3</sup> Inventories of cultural and natural heritage of national significance form the basis for the identification of possible World Heritage properties. Indicate which institutions are in charge of the preparation and keeping up-to-date of these national inventories and if, and to what extent, inventories, lists and/or registers at the local, state and/or national level exist and have been completed.

<sup>4</sup> "Regional" refers to federated states' level, administrative regions, and provincial level.

<sup>5</sup> This item refers in particular to Articles 3, 4 and 11 of the Convention regarding the identification of cultural and natural heritage and the nomination of properties for inscription on the World Heritage List.

<sup>6</sup> Article 11 of the Convention refers to the submission by States Parties of inventories of properties suitable for inclusion in the World Heritage List. Provide the date of submission of the Tentative List or any revision made since its submission. States Parties are also encouraged to provide a description of the process of preparation and revision of the Tentative List, e.g. has (have) any particular institution(s) been assigned the responsibility for identifying and delineating World Heritage properties, have local authorities and local population been involved in its preparation? If so, provide exact details.

<sup>7</sup> Please indicate any particular institutions that have been assigned the responsibility for identifying and delineating World Heritage properties.

03.04	How was your Tentative List prepared? <sup>8</sup>	<input type="checkbox"/> National (please specify below)
		<input type="checkbox"/> Regional (please specify below) <sup>9</sup>
		<input type="checkbox"/> Local (please specify below)
		<input type="checkbox"/> Public consultation (please specify below)

<b>04</b>	<b>Nomination of Cultural and Natural Properties for the World Heritage List</b>	
04.01	List the properties in your country that have been nominated for inscription on the World Heritage List. Also include properties that were deferred, referred, withdrawn or not examined by the World Heritage Committee or its Bureau. <sup>10</sup>	<i>Please add rows for more properties or attach a list, indicating question number 04.01, with the information indicated below for each property:</i>
	Property:	
	Date of submission to the World Heritage Centre:	
	Date considered by the World Heritage Committee/Bureau:	
	Outcome: (inscribed, deferred, referred, withdrawn, not examined)	
	Date of amendment or extension:	
04.02	Who is responsible for preparing World Heritage site nominations?	<input type="checkbox"/> Central government
		<input type="checkbox"/> Regional/local government
		<input type="checkbox"/> Partnership with non-governmental organisation (please specify below)
		<input type="checkbox"/> Site manager
		<input type="checkbox"/> Combination of above (please specify below)
		<input type="checkbox"/> Other (please specify below)
04.03	Who actually prepares the nominations?	<input type="checkbox"/> Central government
		<input type="checkbox"/> Regional/local government
		<input type="checkbox"/> Consultants/experts (please specify below)

<sup>8</sup> Please check the appropriate boxes and give details in the text field below.

<sup>9</sup> "Regional" refers to federated states' level, administrative regions, and provincial level.

<sup>10</sup> List properties that have been nominated for inscription on the World Heritage List. States Parties are encouraged to provide an analysis of the process by which these nominations are prepared, the collaboration and co-operation with local authorities and people, the motivation, obstacles and difficulties encountered in that process and perceived benefits and lessons learnt.

		<input type="checkbox"/> Site manager
		<input type="checkbox"/> Other (please specify below)
04.04	Which of the following are the key motivations for nominating a site in your country? Please explain in the text field below.	
	In order of descending importance among the following: Conservation of site, Increased funding, Lobbying/political pressure, Honour/prestige, Working in partnership, Site in danger:	
	1:	
	2:	
	3:	
	4:	
	5:	
	6:	
	Other	
04.05	Have you encountered difficulties and/or obstacles during the nomination process? If so, which?	<input type="checkbox"/> Lack of national co-operation
		<input type="checkbox"/> Lack of local/regional co-operation
		<input type="checkbox"/> Inadequate staffing
		<input type="checkbox"/> Lack of funding
		<input type="checkbox"/> Development pressures
		<input type="checkbox"/> Lack of political support
		<input type="checkbox"/> Lack of support from UNESCO
		<input type="checkbox"/> Other (please specify below)
04.06	What are the perceived benefits of World Heritage listing in your country? Please explain in the text field below.	(In order of descending importance: None, Conservation of site, Increased funding, Lobbying/political pressure, Honour/prestige, Working in partnership, Endangered site protected, Others –specify below-:
	1:	
	2:	
	3:	
	4:	
	5:	

	6:	
	7:	
	Other (specify below)	

<b>pc1</b>	<b>Conclusions and Recommended Action (Paragraphs 02 through 04 of this report)</b>	
pc1.01	Please summarise below the main strengths and weaknesses regarding your country's implementation of the World Heritage Convention based on the information gathered in paragraphs 02 through 04 of this report.	
pc1.02	Do you have suggestions for future action(s) relevant to the information provided in paragraphs 02 through 04 of this report?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
pc1.03	If yes, please provide details.	
	Action:	
	Short description:	
	Name of the organisation responsible for the implementation of this action:	
	Timeframe for this action:	
	May this action require International Assistance from the World Heritage Fund?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
		Add one
	Action:	
	Short description:	
	Name of the organisation responsible for the implementation of this action:	
	Timeframe for this action:	
	May this action require International Assistance from the World Heritage Fund?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
		Add one
	Action:	
	Short description:	
	Name of the organisation responsible for the implementation of this action:	
	Timeframe for this action:	
	May this action require International Assistance from the World Heritage Fund?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
		Add one
	Action:	
	Short description:	
	Name of the organisation responsible for the implementation of this action:	
	Timeframe for this action:	



	May this action require International Assistance from the World Heritage Fund?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
	<i>Please include more actions with same information as above</i>	

<b>05</b>	<b>General Policy and Legislation for the Protection, Conservation and Presentation of the Cultural and Natural Heritage<sup>11</sup></b>	
05.01	Does your country have specific legislation and policies to identify, protect, conserve and rehabilitate your country's national heritage?	Yes <input type="checkbox"/> No: <input type="checkbox"/>
05.02	If yes, please briefly indicate what kind of legislation and at what level (national, regional, local). <sup>12</sup>	
05.03	If yes, are local communities involved?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
05.04	If the State Party will not be completing Section II, please provide brief details on any legislation or policies concerning visitor management and site-related policies in your country. <sup>13</sup>	
05.05	Is there specific planning legislation to protect World Heritage sites in your country?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
05.06	If yes, please provide brief details of this legislation.	
05.07	Are management plans required (or do they exist) in your country for cultural and natural heritage?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
05.08	If yes, please provide brief details.	
05.09	Please provide brief details as to what other measures have been taken to protect World Heritage sites.	
05.10	Are there any plans to change current legislation and/or planning?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
05.11	If yes, please provide details.	
05.12	What other international conventions for the protection of cultural or natural heritage have been signed or ratified by the State Party? <sup>14</sup>	
	Convention for the Protection of Cultural Property in the Event of Armed	

<sup>11</sup> Provide information on the adoption of policies that aim to give the cultural and natural heritage a function in the life of the community. Provide information on the way the State Party or the relevant authorities has (have) taken steps to integrate the protection of World Heritage properties into comprehensive planning programmes. Areas where improvement would be desirable, and towards which the State Party is working, should be indicated. Indicate appropriate legal and administrative measures that the State Party or relevant authorities have taken for the identification, protection, conservation, presentation and rehabilitation of cultural and natural heritage. Particular attention should be given to measures concerning visitor management and development in the region. The State Party is also encouraged to indicate if, on the basis of the experiences gained, policy and/or legal reform is considered necessary.

<sup>12</sup> Please provide information of a generic nature. No listing of specific legislation is required. Add such a list only if you consider it necessary.

<sup>13</sup> Provide information on the adoption of policies that aim to give the cultural and natural heritage a function in the life of the community. Provide information on the way the State Party or the relevant authorities has (have) taken steps to integrate the protection of World Heritage properties into comprehensive planning programmes. Areas where improvement would be desirable, and towards which the State Party is working should be indicated.

<sup>14</sup> It is also relevant to note which other international conventions for the protection of cultural and natural heritage have been signed or ratified by the State Party and if so, how the application of these different legal instruments is co-ordinated and integrated in national policies and planning.

	Conflict, The Hague 1954 (Hague Convention 1954)	No: <input type="checkbox"/> Signed <input type="checkbox"/> Ratified: <input type="checkbox"/>
	Protocol to the Hague Convention of 1954 for the Protection of Cultural Property in the Event of Armed Conflict, The Hague 1954 (Hague Protocol 1954)	No: <input type="checkbox"/> Signed <input type="checkbox"/> Ratified: <input type="checkbox"/>
	European Convention on the Protection of the Archaeological Heritage London 1969 (London Convention 1969)	No: <input type="checkbox"/> Signed <input type="checkbox"/> Ratified: <input type="checkbox"/>
	Convention on the Means of Prohibiting and Preventing the Illicit Import, Export and Transfer of Ownership of Cultural Property (UNESCO Convention 1970)	No: <input type="checkbox"/> Signed <input type="checkbox"/> Ratified: <input type="checkbox"/>
	European Convention on Offences relating to Cultural Property, Delphi 1985 (Delphi Convention 1985)	No: <input type="checkbox"/> Signed <input type="checkbox"/> Ratified: <input type="checkbox"/>
	Convention for the Protection of the Architectural Heritage of Europe, Granada 1985 (Granada Convention 1985)	No: <input type="checkbox"/> Signed <input type="checkbox"/> Ratified: <input type="checkbox"/>
	European Convention on the Protection of the Archaeological Heritage (Revised), Valetta 1992 (Malta Convention 1992)	No: <input type="checkbox"/> Signed <input type="checkbox"/> Ratified: <input type="checkbox"/>
	UNIDROIT Convention on Stolen or Illegally Exported Cultural Objects (UNIDROIT 1995)	No: <input type="checkbox"/> Signed <input type="checkbox"/> Ratified: <input type="checkbox"/>
	Second Protocol to the Hague Convention of 1954 for the Protection of Cultural Property in the Event of Armed Conflict The Hague 1999 (Hague 2nd Protocol 1999)	No: <input type="checkbox"/> Signed <input type="checkbox"/> Ratified: <input type="checkbox"/>
	Convention on the Protection of the Underwater Cultural Heritage, Paris 2001	No: <input type="checkbox"/> Signed <input type="checkbox"/> Ratified: <input type="checkbox"/>
	Convention for the Safeguarding of the Intangible Cultural Heritage, Paris 2003	No: <input type="checkbox"/> Signed <input type="checkbox"/> Ratified: <input type="checkbox"/>
	European Landscape Convention (Florence Convention 2000)	No: <input type="checkbox"/> Signed <input type="checkbox"/> Ratified: <input type="checkbox"/>
	Convention on Wetlands of International Importance Especially as Waterfowl Habitats, 1971 (the Ramsar Convention)	No: <input type="checkbox"/> Signed <input type="checkbox"/> Ratified: <input type="checkbox"/>
	Convention on International Trade in Endangered Species of Wild Fauna and Flora, 1973 (CITES)	No: <input type="checkbox"/> Signed <input type="checkbox"/> Ratified: <input type="checkbox"/>
	Convention on the Conservation of Migratory Species of Wild Animals, 1979 (the Bonn Convention or CMS 1979)	No: <input type="checkbox"/> Signed <input type="checkbox"/> Ratified: <input type="checkbox"/>
	Convention on the Conservation of European Wildlife and Natural Habitats (Bern 1979)	No: <input type="checkbox"/> Signed <input type="checkbox"/> Ratified: <input type="checkbox"/>
	The Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal, 1989	No: <input type="checkbox"/> Signed <input type="checkbox"/> Ratified: <input type="checkbox"/>
	Convention sur la protection des Alpes (Convention alpine 1991)	No: <input type="checkbox"/> Signed <input type="checkbox"/>

		Ratified: <input type="checkbox"/>
	Convention on Biological Diversity, 1992	No: <input type="checkbox"/> Signed <input type="checkbox"/> Ratified: <input type="checkbox"/>
	Other Conventions:	
05.13	Describe briefly how the application of these different legal instruments is co-ordinated and integrated in national policies and planning.	

<b>06</b>	<b>Status of Services for Protection, Conservation and Presentation<sup>15</sup></b>	
06.01	Briefly describe what services are available for the protection, conservation and presentation of the cultural and natural heritage.	
06.02	What are the key organisations in your country that provide these services?	
06.03	At what level do these organisations provide their services?	<input type="checkbox"/> National
		<input type="checkbox"/> Regional
		<input type="checkbox"/> Local
		<input type="checkbox"/> Combination of above (please specify below)
		<input type="checkbox"/> Other (please specify below)
06.04	Is conservation of the cultural and natural heritage institutionally integrated in your country?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
06.05	If yes, please provide details.	
06.06	Is the private sector involved in the conservation and protection of natural and cultural heritage?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
06.07	If yes, briefly describe what actions your country has taken to involve the private sector in the conservation and protection of natural and cultural heritage sites.	
06.08	Are local communities involved in the conservation and protection of natural and cultural heritage?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
06.09	If yes, briefly describe what your country has done to encourage the active participation of local communities in the conservation and protection of natural and cultural heritage and assess their effectiveness.	

<sup>15</sup> Provide information on any services within the territory of the State Party that have been set up or have been substantially improved since the previous periodic report, if applicable. Particular attention should be given to services aiming at the protection, conservation and presentation of the cultural and natural heritage, indicating the appropriate staff and the means to carry out their functions. Areas where improvement would be desirable, and towards which the State Party is working should be indicated. Indicate relevant scientific, and technical measures that the State Party or relevant institutions within the State have taken for the identification, protection, conservation, presentation and rehabilitation of cultural and natural heritage.

06.10	Are non-governmental organisations (NGO's) involved in the conservation and protection of cultural and natural heritage?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
06.11	If yes, briefly describe what actions your country has taken to involve NGO's in the conservation and protection of natural and cultural heritage sites.	

<b>07</b>	<b>Scientific, Technical Studies and Research<sup>16</sup></b>	
07.01	List <i>significant</i> scientific and technical studies or research projects of a <i>generic nature</i> that have been of benefit or will be of benefit to World Heritage sites (site specific information should be reported on in Section II). For example: impact of World Heritage designation, conservation methodology, research to improve understanding and significance of sites.	
	<i>Enter titles and project descriptions here</i>	

<b>08</b>	<b>Financial Resources<sup>17</sup></b>	
08.01	How are World Heritage sites funded in your country?	<input type="checkbox"/> State-Party budget allowance
		<input type="checkbox"/> Local/regional authority budget allowance
		<input type="checkbox"/> Fundraising
		<input type="checkbox"/> Non-governmental organisations
		<input type="checkbox"/> Private sector
		<input type="checkbox"/> International assistance from the World Heritage Fund
		<input type="checkbox"/> Combination of above (please specify below)
		<input type="checkbox"/> Other (please specify below)
08.02	Has the State Party helped to establish national, public and private foundations or associations for raising funds and donations for the protection of World Heritage?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
08.03	If yes, please provide brief details of these organisations.	
	Organisation name and department:	
	Person responsible:	
	Email address:	
	Tel:	
	Fax:	
	Street and number:	
	Postal code and city:	

<sup>16</sup> Site-specific scientific studies or research projects should be reported upon under Section II.4.

<sup>17</sup> Indicate relevant financial measures that the State Party or relevant authorities have taken for the identification, protection, conservation, presentation and rehabilitation of cultural and natural heritage.

	State and province:	
	Country:	
	Website:	
	Short description of responsibilities:	
		<i>Add more organizations in a list attached, including the same information as above and indicating question number 08.03</i>
08.04	If no, what other relevant financial measures have been taken for the identification, protection, conservation, presentation and rehabilitation of cultural and natural heritage.	
08.05	Has the State Party made additional contributions to the World Heritage Fund?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
08.06	If yes, please provide details.	
	Year:	
	Amount:	
	Additional information:	
	<i>Add more contribution in this field, indicating year, amount and additional information:</i>	

<b>09</b>	<b>Training<sup>18</sup></b>	
09.01	Have training needs for institutions or individuals concerned with the protection and conservation of World Heritage sites been identified?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
09.02	If yes, list the primary needs.	
09.03	Have staff received heritage training in or outside your country?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
09.04	Please indicate below the training institutions that you consider most important in the field of protection and conservation of World Heritage sites. <sup>19</sup>	

<sup>18</sup> Provide information on the training and educational strategies that have been implemented within the State Party for professional capacity building, as well as on the establishment or development of national or regional centres for training and education in the protection, conservation, and presentation of the cultural and natural heritage, and the degree to which such training has been integrated within existing university and educational systems. Areas where improvement would be desirable, and towards which the State Party is working should be indicated.

<sup>19</sup> These could be universities, international training institutions, international programmes...

<b>pc2</b>	<b>Conclusions and Recommended Action (Paragraphs 05 through 09 of this report)</b>	
pc2.01	Please summarise the main strengths and weaknesses regarding your country's implementation of the World Heritage Convention based on the information gathered in paragraphs 05 through 09 of this report.	
pc2.02	Do you have suggestions for future action(s) relevant to the information provided in paragraphs 05 through 09 of this report?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
pc2.03	If yes, please provide details.	
	Action:	
	Short description:	
	Name of the organisation responsible for the implementation of this action:	
	Timeframe for this action:	
	May this action require International Assistance from the World Heritage Fund?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
	Action:	
	Short description:	
	Name of the organisation responsible for the implementation of this action:	
	Timeframe for this action:	
	May this action require International Assistance from the World Heritage Fund?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
	Action:	
	Short description:	
	Name of the organisation responsible for the implementation of this action:	
	Timeframe for this action:	
	May this action require International Assistance from the World Heritage Fund?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
	Action:	
	Short description:	
	Name of the organisation responsible for the implementation of this action:	
	Timeframe for this action:	
	May this action require International Assistance from the World Heritage Fund?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
	Action:	
	Short description:	
	Name of the organisation responsible for the implementation of this action:	
	Timeframe for this action:	
	May this action require International Assistance from the World Heritage Fund?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
	<i>Please include more actions with same information as above:</i>	

<b>10</b>	<b>International Co-operation<sup>20</sup></b>	
10.01	Has your country co-operated with other States Parties for the identification, protection, conservation and preservation of the World Heritage located on their territories?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
10.02	If yes, please indicate the type of co-operation that best describes your activities.	<input type="checkbox"/> Bi-lateral and multi-lateral agreements
		<input type="checkbox"/> Hosting and/or attending international training courses/seminars
		<input type="checkbox"/> Distribution of material/information <sup>21</sup>
		<input type="checkbox"/> Financial support
		<input type="checkbox"/> Experts
		<input type="checkbox"/> Other (please specify below)
10.03	What measures have been taken to avoid damage directly or indirectly to World Heritage on the territory of other States Parties?	<input type="checkbox"/> Foundations for international cooperation
		<input type="checkbox"/> Participation in other UN programmes
		<input type="checkbox"/> Contributions to private organisations for the preservation of cultural and natural heritage
		<input type="checkbox"/> Other (please specify below)
10.04	Do you have World Heritage sites that have been twinned with others at a national or international level?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
10.05	If yes, please give further details.	

<sup>20</sup> This item refers particularly to Articles 4, 6, 17 and 18 of the Convention. Provide information on the co-operation with other States Parties for the identification, protection, conservation and preservation of the World Heritage located on their territories. Also indicate which measures have been taken to avoid damage directly or indirectly to the World Heritage on the territory of other States Parties. Have national, public and private foundations or associations been established for, and has the State Party given assistance to, raising funds and donations for the protection of the World Heritage?

<sup>21</sup> Please send copies of all the documentation attached to the final printed report. Please also send electronic copies to [m.rossler@unesco.org](mailto:m.rossler@unesco.org).

<b>pc3</b>	<b>Conclusions and Recommended Action (Paragraph 10 of this report)</b>	
pc3.01	Please summarise the main strengths and weaknesses regarding your country's implementation of the World Heritage Convention based on the information gathered in paragraph 10 of this report.	
pc3.02	Do you have suggestions for future action(s) relevant to the information provided in paragraph 10 of this report?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
pc3.03	If yes, please provide details.	
	Action:	
	Short description:	
	Name of the organisation responsible for the implementation of this action:	
	Timeframe for this action:	
	May this action require International Assistance from the World Heritage Fund?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
	Action:	
	Short description:	
	Name of the organisation responsible for the implementation of this action:	
	Timeframe for this action:	
	May this action require International Assistance from the World Heritage Fund?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
	Action:	
	Short description:	
	Name of the organisation responsible for the implementation of this action:	
	Timeframe for this action:	
	May this action require International Assistance from the World Heritage Fund?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
	Action:	
	Short description:	
	Name of the organisation responsible for the implementation of this action:	
	Timeframe for this action:	
	May this action require International Assistance from the World Heritage Fund?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
	<i>Please include more actions with same information as above:</i>	



<b>11</b>	<b>Information, Awareness Building and Education<sup>22</sup></b>	
11.01	How does your country present and promote its World Heritage sites?	<input type="checkbox"/> Publications (books, leaflets, magazines)
		<input type="checkbox"/> Films
		<input type="checkbox"/> Postcards
		<input type="checkbox"/> Media campaigns
		<input type="checkbox"/> Internet
		<input type="checkbox"/> Postage stamps, medals
		<input type="checkbox"/> Other (please specify below)
11.02	Is this at a local, regional, national or international level?	<input type="checkbox"/> International
		<input type="checkbox"/> National
		<input type="checkbox"/> Regional
		<input type="checkbox"/> Local
11.03	Do you believe the presentation and general awareness about the protection and conservation of World Heritage sites in your country is adequate?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
11.04	If no, is the State Party working towards any action or measures to improve it?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
11.05	Please provide details as to these measures.	
11.06	Provide information on State Party education (primary, secondary and tertiary) programmes in the field of the protection and conservation of World Heritage that have been undertaken or are planned.	

<sup>22</sup> Indicate steps that the State Party has taken to raise the awareness of decision-makers, property owners, and the general public about the protection and conservation of cultural and natural heritage. Provide information on education (primary, secondary and tertiary) and information programmes that have been undertaken or are planned to strengthen appreciation and respect by the population, to keep the public broadly informed of the dangers threatening the heritage and of activities carried out in pursuance of the Convention. Does the State Party participate in the UNESCO Special Project Young People's Participation in World Heritage Preservation and Promotion? Information on site-specific activities and programmes should be provided under item II.4 below.

<b>pc4</b>	<b>Conclusions and Recommended Action (Paragraph 11 of this report)</b>	
pc4.01	Please summarise the main strengths and weaknesses regarding your country's implementation of the World Heritage Convention based on the information gathered in paragraph 11 of this report.	
pc4.02	Do you have suggestions for future action(s) relevant to the information provided in paragraph 11 of this report?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
pc4.03	If yes, please provide details.	
	Action:	
	Short description:	
	Name of the organisation responsible for the implementation of this action:	
	Timeframe for this action:	
	May this action require International Assistance from the World Heritage Fund?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
	Action:	
	Short description:	
	Name of the organisation responsible for the implementation of this action:	
	Timeframe for this action:	
	May this action require International Assistance from the World Heritage Fund?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
	Action:	
	Short description:	
	Name of the organisation responsible for the implementation of this action:	
	Timeframe for this action:	
	May this action require International Assistance from the World Heritage Fund?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
	Action:	
	Short description:	
	Name of the organisation responsible for the implementation of this action:	
	Timeframe for this action:	
	May this action require International Assistance from the World Heritage Fund?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
	<i>Please include more actions with same information as above:</i>	

<b>12</b>	<b>Conclusions and Recommended Action<sup>23</sup></b>	
12.01	Summary of the main strengths and weaknesses regarding your country's implementation of the World Heritage Convention based on the information gathered in this report.	
	<u>Identification of cultural and natural heritage properties:</u>	
	<u>Protection, conservation and presentation of the cultural and natural heritage:</u>	
	<u>International co-operation and fundraising:</u>	
	<u>Education, information and awareness building:</u>	
12.02	Proposed future actions.	
	Action:	
	Short description:	
	Responsible organisation:	
	Timeframe:	
	May this action require International Assistance from the World Heritage Fund?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
	Action:	
	Short description:	
	Responsible organisation:	
	Timeframe:	
	May this action require International Assistance from the World Heritage Fund?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
	Action:	
	Short description:	
	Responsible organisation:	
	Timeframe:	
	May this action require International Assistance from the World Heritage Fund?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
	Action:	
	Short description:	
	Responsible organisation:	
	Timeframe:	
	May this action require International Assistance from the World Heritage Fund?	Yes: <input type="checkbox"/> No: <input type="checkbox"/>
	<i>Please include more actions with same information as above:</i>	

<sup>23</sup> On the electronic version of this questionnaire, this paragraph is automatically drawn up from the entries in the preliminary conclusions. Please copy and paste if necessary from the preliminary conclusions.

<b>13</b>	<b>Assessment of Section I of the Periodic Reporting Exercise</b>	
13.01	How do you assess the information made available during the preparation phase of Periodic Reporting (e.g. information given, meetings etc.)? Please comment below.	<input type="checkbox"/> Very good
		<input type="checkbox"/> Good
		<input type="checkbox"/> Average
		<input type="checkbox"/> Bad
		<input type="checkbox"/> Very bad
13.02	How do you assess the clarity and user-friendliness of the questionnaire? Please comment below.	<input type="checkbox"/> Very good
		<input type="checkbox"/> Good
		<input type="checkbox"/> Average
		<input type="checkbox"/> Bad
		<input type="checkbox"/> Very bad
13.03	Do you think the Periodic Reporting process will produce any benefits to the State Party? Please comment.	
13.04	Please outline the expected outcome of Periodic Reporting and the desired follow-up by the World Heritage Committee.	
	<b>Thank you very much for your co-operation!</b>	



UNITED NATIONS EDUCATIONAL, SCIENTIFIC AND  
CULTURAL ORGANIZATION



## Convention concerning the protection of the world cultural and natural heritage

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### Format for the periodic reporting on the application of the World Heritage Convention

Under the terms of Article 29 of the Convention concerning the Protection of the World Cultural and Natural Heritage, adopted by the General Conference of UNESCO in 1972, *the States Parties of this Convention shall, in the reports which they submit to the general Conference of the United Nations Educational, Scientific and Cultural Organization on dates and in a manner to be determined by it, give information on the legislative and administrative provisions which they have adopted and other action which they have taken for the application of this Convention, together with details of the experience acquired in this field.*

The twenty-ninth General Conference of UNESCO, held in 1997, *invited the States Parties to the Convention for the Protection of the World Cultural and Natural Heritage to submit to it in accordance with Article 29 of the Convention, through the World Heritage Committee, via its Secretariat, the UNESCO World Heritage Centre, reports on the legislative and administrative provisions they have adopted and other actions which they have taken for the application of the Convention, including the state of conservation of the World Heritage properties located on their territories.*

*It also requested the World Heritage Committee to define the periodicity, form, nature and extent of the periodic reporting on the application of the World Heritage Convention and on the state of conservation of World Heritage properties and to examine and respond to these reports in accordance with the principle of State sovereignty.*

The World Heritage Committee, at its twenty-second session held in 1998, invited States Parties to submit periodic reports every six years using the attached Format and Explanatory Notes for periodic reports.

It also decided to examine the States Parties' periodic reports region by region as per the timetable included under (ii) (c) of the Introduction to the Explanatory Notes attached. In order to facilitate the preparation and examination of the periodic reports, the Committee requested the Secretariat, jointly with the Advisory Bodies, and making use of States Parties, competent institutions and expertise available within the region, to develop regional strategies for the periodic reporting process.

The Secretariat will consult States Parties in a timely fashion with regard to the development and implementation of the regional strategies.

For further information please contact:

**UNESCO World Heritage Centre**  
**7, place de Fontenoy**  
**75352 Paris 07 SP**  
**France**

To facilitate management of information, States Parties are requested to submit reports in English or French in electronic as well as printed form.



# **PERIODIC REPORTING ON THE APPLICATION OF THE WORLD HERITAGE CONVENTION**

Format

## **EXECUTIVE SUMMARY**

### **SECTION I: APPLICATION OF THE WORLD HERITAGE CONVENTION BY THE STATE PARTY**

#### **I.1. Introduction**

- a. State Party
- b. Year of ratification or acceptance of the Convention
- c. Organization(s) or entity(ies) responsible for the preparation of the report
- d. Date of the report
- e. Signature on behalf of State Party

#### **I.2. Identification of cultural and natural heritage properties**

- a. National inventories
- b. Tentative List
- c. Nominations

#### **I.3. Protection, conservation and presentation of the cultural and natural heritage**

- a. General policy development
- b. Status of services for protection, conservation and presentation
- c. Scientific and technical studies and research
- d. Measures for identification, protection, conservation, presentation and rehabilitation
- e. Training

#### **I.4. International co-operation and fund raising**

#### **I.5. Education, information and awareness building**

## **I.6. Conclusions and recommended action**

- a. Main conclusions
- b. Proposed future action(s)
- c. Responsible implementing agency(ies)
- d. Timeframe for implementation
- e. Needs for international assistance.

## **SECTION II: STATE OF CONSERVATION OF SPECIFIC WORLD HERITAGE PROPERTIES**

### **II.1 Introduction**

- a. State Party
- b. Name of World Heritage property
- c. Geographical coordinates to the nearest second
- d. Date of inscription on the World Heritage List
- e. Organization(s) or entity(ies) responsible for the preparation of the report
- f. Date of report
- g. Signature on behalf of State Party

### **II.2. Statement of significance**

### **II.3. Statement of authenticity/integrity**

### **II.4. Management**

### **II.5. Factors affecting the property**

### **II.6. Monitoring**

### **II.7. Conclusions and recommended action**

- a. Main conclusions regarding the state of the World Heritage values of the property (see items II.2. and II.3. above)
- b. Main conclusions regarding the management and factors affecting the property (see Items II.4 and II.5. above)
- c. Proposed future action/actions
- d. Responsible implementing agency/agencies
- e. Timeframe for implementation
- f. Needs for international assistance.



# PERIODIC REPORTING ON THE APPLICATION OF THE WORLD HERITAGE CONVENTION

## Explanatory Notes

### INTRODUCTION

- (i) These Explanatory Notes are designed to provide guidance to those preparing periodic reports. They relate to the headings under which information is sought. Periodic reports should provide information under each of these headings. They should be signed by a responsible official on behalf of the State Party. These notes, particularly those referring to Section II of the periodic reports, are intended to be read in conjunction with the Explanatory Notes on the Format for the nomination of properties for inclusion on the World Heritage List that were adopted by the World Heritage Committee at its twentieth session. The nomination Format and the Explanatory Notes to it are available from the UNESCO World Heritage Centre (document number WHC-97/WS/6 and on the UNESCO World Heritage Internet pages <http://www.unesco.org/whc/>).

### Background

- (ii) The twenty-ninth General Conference of UNESCO, held in 1997,

*Invited the States Parties to the Convention for the Protection of the World Cultural and Natural Heritage to submit to it in accordance with Article 29 of the Convention, through the World Heritage Committee, via its Secretariat, the UNESCO World Heritage Centre, reports on the legislative and administrative provisions they have adopted and other actions which they have taken for the application of the Convention, including the state of conservation of the World Heritage properties located on their territories.*

*Requested the World Heritage Committee to define the periodicity, form, nature and extent of the periodic reporting on the application of the World Heritage Convention and on the state of conservation of World Heritage properties and to examine and respond to these reports in accordance with the principle of State sovereignty.*

*Requested the World Heritage Committee to include in its reports to the General Conference, submitted in accordance with Article 29.3 of the Convention, its findings with regard to the application of the Convention by the States Parties.*

(iii) The World Heritage Committee, at its twenty-second session held in 1998, adopted the Format and Explanatory Notes contained in this document and decided to:

- (a) Invite States Parties to the World Heritage Convention to submit, in accordance with Article 29 of the World Heritage Convention and the decisions of the Eleventh General Assembly of States Parties and the 29<sup>th</sup> General Conference of UNESCO, periodic reports on the legislative and administrative provisions and other actions which they have taken for the application of the World Heritage Convention, including the state of conservation of the World Heritage properties located on its territories ;
- (b) Invite States Parties to submit periodic reports every six years using the Format for periodic reports as adopted by the World Heritage Committee at its twenty-second session ;
- (c) Express its wish to examine the States Parties' periodic reports region by region. This will include the state of conservation of properties inscribed on the World Heritage List according to the following table:

Region	Examination of properties inscribed up to and including	Year of Examination by Committee
Arab States	1992	2000
Africa	1993	2001
Asia and the Pacific	1994	2002
Latin America and the Caribbean	1995	2003
Europe and North America	1996/1997	2004/2005

- (d) Request the Secretariat, jointly with the Advisory Bodies, and making use of States Parties, competent institutions and expertise available within the region, to develop regional strategies for the periodic reporting process as per the time table established under (c) above. These strategies should respond to specific characteristics of the regions and should promote coordination and synchronization between States Parties, particularly in the case of transboundary properties.

### **Purpose of periodic reporting**

(iv) The periodic reporting on the application of the World Heritage Convention is intended to serve four main purposes:

- to provide an assessment of the application of the World Heritage Convention by the State Party;
- to provide an assessment as to whether the World Heritage values of the properties inscribed on the World Heritage List are being maintained over time;

- to provide up-dated information about the World Heritage properties to record the changing circumstances and state of conservation of the properties;
- to provide a mechanism for regional co-operation and exchange of information and experiences between States Parties concerning the implementation of the Convention and World Heritage conservation.

### **Format for periodic reports**

- (v) The Format for the periodic reports by the States Parties consists of two sections:

**Section I** refers to the legislative and administrative provisions which the State Party has adopted and other actions which it has taken for the application of the Convention, together with details of the experience acquired in this field. This particularly concerns the general obligations and commitments defined in specific articles of the Convention.

**Section II** refers to the state of conservation of specific World Heritage properties located on the territory of the State Party concerned. This Section should be completed for each World Heritage property.

### **General Requirements**

- (vi) Information should be as precise and specific as possible. It should be quantified where possible and fully referenced.
- (vii) Information should be concise. In particular long historical accounts of sites and events which have taken place there should be avoided, especially when they can be found in readily available published sources.
- (viii) Expressions of opinion should be supported by reference to the authority on which they are made and the verifiable facts which support them.
- (ix) Periodic reports should be completed on A4 paper (210mm x 297mm) with maps and plans a maximum of A3 paper (297mm x 420mm). States Parties are also encouraged to submit the full text of the periodic reports in electronic form.

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## **SECTION I: APPLICATION OF THE WORLD HERITAGE CONVENTION BY THE STATE PARTY**

- (I.i) The *Convention concerning the Protection of the World Cultural and Natural Heritage* was adopted by the General Conference of the United Nations Educational, Scientific and Cultural Organization at its seventeenth session on 16 November 1972. The World Heritage Committee, established under the World Heritage Convention, has prepared the *Operational Guidelines for the Implementation of the World Heritage Convention* which guide the work of the Committee in establishing the World Heritage List and the List of World Heritage in Danger, granting international assistance and treating other questions related to the implementation of the Convention.
- (I.ii) In ratifying or accepting the World Heritage Convention, States Parties accept their duty of ensuring the identification, protection, conservation, presentation and transmission to future generations of the cultural and natural heritage (Article 4) as defined in the Convention (Articles 1 and 2). These measures are further defined in several Articles in the Convention, e.g. Articles 5, 6, 11, 16, 17, 18, 27 and 28.
- (I.iii) In Section I of the periodic report, States Parties are requested to “*give information on the legislative and administrative provisions which they have adopted and other action which they have taken for the application of this Convention, together with details of the experience acquired in this field*” (Article 29.1 of the World Heritage Convention).
- (I.iv) States Parties are invited to provide information under the following headings:

### **I.1. Introduction**

- a. State Party
- b. Year of ratification or acceptance of the Convention
- c. Organization(s) or entity(ies) responsible for the preparation of the report
- d. Date of the report
- e. Signature on behalf of State Party

### **I.2. Identification of cultural and natural heritage properties**

This item refers in particular to Articles 3, 4 and 11 of the Convention regarding the identification of cultural and natural heritage and the nomination of properties for inscription on the World Heritage List.

**a. National inventories**

Inventories of cultural and natural heritage of national significance form the basis for the identification of possible World Heritage properties. Indicate which institutions are in charge of the preparation and keeping up-to-date of these national inventories and if, and to what extent, inventories, lists and/or registers at the local, state and/or national level exist and have been completed.

**b. Tentative List**

Article 11 of the Convention refers to the submission by States Parties of inventories of property suitable for inclusion in the World Heritage List.

Provide the date of submission of the Tentative List or any revision made since its submission. States Parties are also encouraged to provide a description of the process of preparation and revision of the Tentative List, e.g. has(have) any particular institution(s) been assigned the responsibility for identifying and delineating World Heritage properties, have local authorities and local population been involved in its preparation? If so, provide exact details.

**c. Nominations**

List properties that have been nominated for inscription on the World Heritage List. States Parties are encouraged to provide an analysis of the process by which these nominations are prepared, the collaboration and co-operation with local authorities and people, the motivation, obstacles and difficulties encountered in that process and perceived benefits and lessons learnt.

**I.3. Protection, conservation and presentation of the cultural and natural heritage**

This item refers in particular to Articles 4 and 5 of the Convention, in which States Parties recognise their duty of ensuring the identification, protection, conservation, presentation and transmission to future generations of the cultural and natural World Heritage and that effective and active measures are taken to this effect. Article 5 of the Convention specifies the following measures:

**a. General policy development**

Provide information on the adoption of policies that aim to give the cultural and natural heritage a function in the life of the community. Provide information on the way the State Party or the relevant authorities has(have) taken steps to integrate the protection of World Heritage properties into comprehensive planning programmes. Areas where improvement would be desirable, and towards which the State Party is working should be indicated.

**b. Status of services for protection, conservation and presentation**

Provide information on any services within the territories of the State Party which have been set up or have been substantially improved since the previous periodic report, if applicable. Particular attention should be given to services aiming at the protection, conservation and presentation of the cultural and natural heritage, indicating the appropriate staff and the means to discharge their functions. Areas where improvement would be desirable, and towards which the State Party is working should be indicated.

**c. Scientific and technical studies and research**

List significant scientific and technical studies or research projects of a generic nature that would benefit World Heritage properties, initiated or completed since the last periodic report. Areas where improvement would be desirable, and towards which the State Party is working should be indicated.

Site specific scientific studies or research projects should be reported upon under Section II.4.

**d. Measures for identification, protection, conservation, presentation and rehabilitation**

Indicate appropriate legal and administrative measures that the State Party or relevant authorities have taken for the identification, protection, conservation, presentation and rehabilitation of cultural and natural heritage. Particular attention should be given to measures concerning visitor management and development in the region. The State Party is also encouraged to indicate if, on the basis of the experiences gained, policy and/or legal reform is considered necessary. It is also relevant to note which other international conventions for the protection of cultural or natural heritage have been signed or ratified by the State Party and if so, how the application of these different legal instruments is co-ordinated and integrated in national policies and planning.

Indicate relevant scientific, and technical measures that the State Party or relevant institutions within the State have taken for the identification, protection, conservation, presentation and rehabilitation of cultural and natural heritage.

Indicate relevant financial measures that the State Party or relevant authorities have taken for the identification, protection, conservation, presentation and rehabilitation of cultural and natural heritage.

Information on the presentation of the heritage can refer to publications, internet web-pages, films, stamps, postcards, books etc.

Areas where improvement would be desirable, and towards which the State Party is working should be indicated.

### **e. Training**

Provide information on the training and educational strategies that have been implemented within the State Party for professional capacity building, as well as on the establishment or development of national or regional centres for training and education in the protection, conservation, and presentation of the cultural and natural heritage, and the degree to which such training has been integrated within existing university and educational systems.

Indicate the steps that the State has taken to encourage scientific research as a support to training and educational activities.

Areas where improvement would be desirable, and towards which the State Party is working should be indicated.

### **I.4. International co-operation and fund raising**

This item refers particularly to Articles 4, 6, 17 and 18 of the Convention.

Provide information on the co-operation with other States Parties for the identification, protection, conservation and preservation of the World Heritage located on their territories.

Also indicate which measures have been taken to avoid damage directly or indirectly to the World Heritage on the territory of other States Parties.

Have national, public and private foundations or associations been established for, and has the State Party given assistance to, raising funds and donations for the protection of the World Heritage?

### **I.5. Education, information and awareness building**

This item refers particularly to Articles 27 and 28 of the Convention on educational programmes.

Indicate steps that the State Party has taken to raise the awareness of decision-makers, property owners, and the general public about the protection and conservation of cultural and natural heritage.

Provide information on education (primary, secondary and tertiary) and information programmes that have been undertaken or are planned to strengthen appreciation and respect by the population, to keep the public broadly informed of the dangers threatening the heritage and of activities carried out in pursuance of the Convention. Does the State Party participate in the UNESCO Special Project *Young People's Participation in World Heritage Preservation and Promotion*?

Information on site-specific activities and programmes should be provided under item II.4 below.

**I.6. Conclusions and recommended action**

The main conclusions under each of the items of Section I of the report should be summarized and tabulated together with the proposed action(s) to be taken, the agency(ies) responsible for taking the action(s) and the timeframe for its execution:

- a. Main conclusions
- b. Proposed future action(s)
- c. Responsible implementing agency(ies)
- d. Timeframe for implementation
- e. Needs for international assistance

States Parties are also encouraged to provide in their first periodic report an analysis of the process by which they ratified the Convention, the motivation, obstacles and difficulties encountered in that process and perceived benefits and lessons learnt.

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## **SECTION II: STATE OF CONSERVATION OF SPECIFIC WORLD HERITAGE PROPERTIES**

- (II.i) The twenty-ninth General Conference of UNESCO, in its decision regarding the application of Article 29 of the World Heritage Convention, invited the States Parties to submit reports on the application of the World Heritage Convention, *including the state of conservation of the World Heritage properties located on their territories.*
- (II.ii) The primary documents in respect of each World Heritage property are the nomination dossier as it was submitted by the State Party and the decision of the World Heritage Committee regarding the inscription of the property on the World Heritage List.
- (II.iii) The preparation of periodic state of conservation reports should involve those who are responsible for the day-to-day management of the property. For trans-boundary properties it is recommended that reports be prepared jointly by or in close collaboration between the agencies concerned. The preparation of periodic state of conservation reports could include expert advice from the Secretariat and/or the Advisory Bodies, if and when the State Party(ies) concerned so wish(es).
- (II.iv) The first periodic report should update the information provided in the original nomination dossier. Subsequent reports will then focus on any changes that may have occurred since the previous report was submitted.

This section of the periodic report follows, therefore, the format for the nomination dossier.

- (II.v) The state of properties included in the List of World Heritage in Danger is reviewed by the World Heritage Committee at regular intervals, in general once every year. This review concentrates on the specific factors and considerations that led to the inscription of the property on the List of World Heritage in Danger. It will still be necessary to prepare a complete periodic report on the state of conservation of these properties.
- (II.vi) This section should be completed for each individual World Heritage property. States Parties are invited to provide information under the following headings:

### **II.1. Introduction**

- a. State Party
- b. Name of World Heritage property
- c. Geographical coordinates to the nearest second
- d. Date of inscription on the World Heritage List
- e. Organization(s) or entity(ies) responsible for the preparation of the report
- f. Date of report
- g. Signature on behalf of State Party

## **II.2. Statement of Significance**

At the time of inscription of a property on the World Heritage List, the World Heritage Committee indicates its World Heritage values by deciding on the criteria for inscription. Please indicate the justification for inscription provided by the State Party, and the criteria under which the Committee inscribed the property on the World Heritage List.

In the view of the State Party, does the statement of significance adequately reflect the World Heritage values of the property or is a re-submission necessary? This could be considered, for example, to recognise cultural values of a natural World Heritage property, or vice-versa. This may become necessary either due to the substantive revision of the criteria by the World Heritage Committee or due to better identification or knowledge of specific outstanding universal values of the property.

Another issue that might be reviewed here is whether the delimitation of the World Heritage property, and its buffer zone if appropriate, is adequate to ensure the protection and conservation of the World Heritage values embodied in it. A revision or extension of the boundaries might be considered in response to such a review.

If a statement of significance is not available or incomplete, it will be necessary, in the first periodic report, for the State Party to propose such a statement. The statement of significance should reflect the criterion (criteria) on the basis of which the Committee inscribed the property on the World Heritage List. It should also address questions such as: What does the property represent, what makes the property outstanding, what are the specific values that distinguish the property, what is the relationship of the site with its setting, etc.? Such statement of significance will be examined by the Advisory Body(ies) concerned and transmitted to the World Heritage Committee for approval, if appropriate.

## **II.3. Statement of authenticity/integrity**

Under this item it is necessary to review whether the values on the basis of which the property was inscribed on the World Heritage List, and reflected in the statement of significance under item II.2 above, are being maintained.

This should also include the issue of authenticity/integrity in relation to the property. What was the evaluation of the authenticity/integrity of the property at the time of inscription? What is the authenticity/integrity of the property at present?

Please note that a more detailed analysis of the conditions of the property is required under item II.6 on the basis of key indicators for measuring its state of conservation.

## **II.4. Management**

Under this item, it is necessary to report on the implementation and effectiveness of protective legislation at the national, provincial or municipal level and/or contractual or traditional protection as well as of management and/or planning control for the property concerned, as well as on actions that are foreseen for the future, to preserve the values described in the statement of significance under item II.2.

The State Party should also report on significant changes in the ownership, legal status and/or contractual or traditional protective measures, management arrangements and management plans as compared to the situation at the time of inscription or the previous periodic report. In such case, the State Party is requested to attach to the periodic report all relevant documentation, in particular legal texts, management plans and/or (annual) work plans for the management and maintenance of the property. Full name and address of the agency or person directly responsible for the property should also be provided.

The State Party could also provide an assessment of the human and financial resources that are available and required for the management of the property, as well as an assessment of the training needs for its staff.

The State Party is also invited to provide information on scientific studies, research projects, education, information and awareness building activities directly related to the property and to comment on the degree to which heritage values of the property are effectively communicated to residents, visitors and the public. Matters that could be addressed are, among other things: is there a plaque at the site indicating that the property is a World Heritage property? Are there educational programmes for schools? Are there special events and exhibitions? What facilities, visitor centre, site museum, trails, guides, information material etc. are made available to visitors? What role does the World Heritage designation play in all these programmes and activities?

Furthermore, the State Party is invited to provide statistical information, if possible on an annual basis, on income, visitor numbers, staff and other items if appropriate.

On the basis of the review of the management of the property, the State Party may wish to consider if a substantive revision of the legislative and administrative provisions for the conservation of the property is required.

## **II.5. Factors affecting the property**

Please comment on the degree to which the property is threatened by particular problems and risks. Factors that could be considered under this item are those that are listed in the nomination format, e.g. development pressure, environmental pressure, natural disasters and preparedness, visitor/tourism pressure, number of inhabitants.

Considering the importance of forward planning and risk preparedness, provide relevant information on operating methods that will make the State Party capable of counteracting dangers that threaten or may endanger its cultural or natural heritage. Problems and risks to be considered could include earthquakes, floods, land-slides, vibrations, industrial pollution, vandalism, theft, looting, changes in the physical context of properties, mining, deforestation, poaching, as well as changes in land-use, agriculture, road building, construction activities, tourism. Areas where improvement would be desirable, and towards which the State Party is working should be indicated.

This item should provide up-to-date information on all factors which are likely to affect or threaten the property. It should also relate those threats to measures taken to deal with them.

An assessment should also be given if the impact of these factors on the property is increasing or decreasing and what actions to address them have been effectively taken or are planned for the future.

## **II.6. Monitoring**

Whereas item II.3 of the periodic report provides an overall assessment of the maintenance of the World Heritage values of the property, this item analyses in more detail the conditions of the property on the basis of key indicators for measuring its state of conservation.

If no indicators were identified at the time of inscription of the property on the World Heritage List, this should be done in the first periodic report. The preparation of a periodic report can also be an opportunity to evaluate the validity of earlier identified indicators and to revise them, if necessary.

Up-to-date information should be provided in respect of each of the key indicators. Care should be taken to ensure that this information is as accurate and reliable as possible, for example by carrying out observations in the same way, using similar equipment and methods at the same time of the year and day.

Indicate which partners if any are involved in monitoring and describe what improvement the State Party foresees or would consider desirable in improving the monitoring system.

In specific cases, the World Heritage Committee and/or its Bureau may have already examined the state of conservation of the property and made recommendations to the State Party, either at the time of inscription or afterwards. In such cases the State Party is requested to report on the actions that have been taken in response to the observations or recommendations made by the Bureau or Committee.

**II.7. Summary of conclusions and recommended actions**

The main conclusions under each of the items of the state of conservation report, but in particular as to whether the World Heritage values of the property are maintained, should be summarized and tabulated together with:

- a. Main conclusions regarding the state of the World Heritage values of the property (see items II.2. and II.3. above)
- b. Main conclusions regarding the management and factors affecting the property (see Items II.4 and II.5. above)
- c. Proposed future action/actions
- d. Responsible implementing agency/agencies
- e. Timeframe for implementation
- f. Needs for international assistance

The State Party is also requested to indicate what experience the State Party has obtained which could be relevant to others dealing with similar problems or issues. Please provide names of organizations or specialists who could be contacted for this purpose.

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