

# PERIODIC REPORTING ON THE APPLICATION OF THE WORLD HERITAGE CONVENTION

## SECTION II

### STATE OF CONSERVATION OF SPECIFIC WORLD HERITAGE PROPERTIES

#### QUESTIONNAIRE

#### WORD VERSION<sup>1</sup>

#### Background

The twenty-ninth General Conference of UNESCO, held in 1997, decided to activate Article 29 of the World Heritage Convention concerning the submission of Periodic Reports on the state of implementation of the World Heritage Convention (Section I) and the State of Conservation of World Heritage properties (Section II). The national authorities are invited to report on Section I, while Section II shall be prepared for each property inscribed on the World Heritage list by the person(s) directly in charge of the property's management.

The Periodic Reports prepared by the States Parties will serve the following objectives:

- to assess State Party application of the World Heritage Convention,
- to assess the state of conservation of World Heritage sites conducted by the State Party,
- to help focus the Committee's as well as the State Party's future activities and funds,
- to strengthen sub-regional and regional co-operation between States Parties.

#### The Periodic Reporting Questionnaire

In 1998, at its twenty-second session, the World Heritage Committee approved *Explanatory Notes*, designed to be read in conjunction with the *Periodic Reporting Format*, in order to outline the information expected to flow from the periodic reporting exercise. To facilitate the preparation of the report, a *Questionnaire* was developed that the States Parties are encouraged to use. It closely follows the subjects referred to in the *Explanatory Notes*, but in contrast to the latter splits the subjects up into short questions to be answered in a few sentences or paragraphs. A second type of question requires the indication of YES or NO by crossing the appropriate answer. To make the reporting results meaningful every one of these questions has to be answered. If no answer is possible, the reasons should be given. If the available space is not sufficient for the answer, the response should be continued on a separate sheet of paper, clearly indicating the number of the question the text refers to (e.g. 02.05).

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<sup>1</sup> States Parties are strongly encouraged to fill in the **ELECTRONIC** version of this questionnaire directly at <http://whc1.unesco.org/periodicreporting>. Please contact the Europe Unit of the World Heritage Centre for information about focal points and passwords. This information is also available on the web page.

The word version of the *Format* and the *Explanatory Notes* are attached to the questionnaire as annex for consultation.

### **Benefits for the States Parties**

The *Questionnaire* was developed in such a way as to allow to extract and compile or compare relevant information from different States Parties or properties, facilitating the process of preparing the regional synthesis report to be presented to the World Heritage Committee. The YES / NO questions make it possible to evaluate the reports quantitatively, but only the details to be supplied in the related 'open question', make the answers meaningful and can be the basis for concerted actions to preserve the heritage of humankind located in a State Party for its transmission to future generations.

The information collected in this way will help the States Parties to assess their own strengths and weaknesses concerning the implementation of the World Heritage Convention, putting them in a position to (re)define policies and if necessary to request assistance in order to finance projects and / or training. On the other hand it allows the World Heritage Committee and its Secretariat to collect information needed to devise *Regional Action Plans*, give well-informed advice to States Parties and to focus funds (both from the World Heritage Fund and extra-budgetary funds) as well as attention on the region(s), States Parties and / or properties that need the collective support of the international community.

The preparation process of the regional periodic report will furthermore enhance regional co-operation through information meetings as well as through the better availability of regularly up-dated information on activities as well as contact addresses etc. The identification of the State Party's strengths makes it possible to exchange experiences and look for solutions to problems (e.g. of site conservation) identified within the region.

### **Conclusion**

Periodic Reporting is a participatory exercise, aiming to collect information on World Heritage related issues on a regional, national as well as on the property level. The individual State Party reports will be collated into a regional synthesis report to be presented to the World Heritage Committee. This information will enhance cooperation between the Committee and the States Parties and allow to focus funds and activities more efficiently, allowing the States Parties to protect their heritage of outstanding universal value more effectively for transmission to future generations.

**SECTION II: STATE OF CONSERVATION OF SPECIFIC WORLD HERITAGE PROPERTIES**

**EXECUTIVE SUMMARY OF THE QUESTIONNAIRE**

- 1. Introduction**
- 2. Justification for Inscription (statement of significance)**
- 3. Boundary and buffer zone**
- 4. Authenticity and Integrity of the site**
- 5. Management**
- 6. Protection**
- 7. Management plans**
- 8. Financial resources**
- 9. Staffing levels (human resources)**
- 10. Sources of Expertise and Training in Conservation and Management Techniques**
- 11. Visitors**
- 12. Scientific studies**
- 13. Education, Information and Awareness Building**
- 14. Factors Affecting the Property (State of Conservation)**
- 15. Monitoring**
- 16. Conclusions**
- 17. Potential Decisions for the World Heritage Committee**
- 18. Assessment of the Periodic Reporting Exercise**
- 19. Documentation checklist**



<b>02</b>	<b>Justification for Inscription (Statement of Significance)<sup>2</sup></b>	
02.01	What observations did the World Heritage Committee or the Advisory Bodies make at the time of inscription or of any subsequent extension? Please quote them here.	
02.02	What actions have been taken as a follow-up to observations and/or decisions made by the Advisory Bodies/World Heritage Committee during evaluation and inscription?	
02.03	Under which criteria was your site inscribed onto the World Heritage List?	
	Cultural criteria:	<input type="checkbox"/> i <input type="checkbox"/> ii <input type="checkbox"/> iii <input type="checkbox"/> iv <input type="checkbox"/> v <input type="checkbox"/> vi
	Natural criteria:	<input type="checkbox"/> i <input type="checkbox"/> ii <input type="checkbox"/> iii <input type="checkbox"/> iv
02.04	Have new criteria been added after the original inscription i.e. by re-nominating and/or extending the property?	<input type="checkbox"/> Yes <input type="checkbox"/> No
02.05	If yes, please provide a brief explanation.	
02.06	If no, should the site be re-inscribed with additional criteria? Please specify the new criteria below.	<input type="checkbox"/> Yes <input type="checkbox"/> No
	Cultural criteria:	<input type="checkbox"/> i <input type="checkbox"/> ii <input type="checkbox"/> iii <input type="checkbox"/> iv <input type="checkbox"/> v <input type="checkbox"/> vi
	Natural criteria:	<input type="checkbox"/> i <input type="checkbox"/> ii <input type="checkbox"/> iii <input type="checkbox"/> iv
02.07	Please summarize the justification for inscription, as it appears in the original nomination document for the site.	
02.08	Was the Outstanding Universal Value of the site defined by the Committee or the Advisory Bodies at the time of inscription?	<input type="checkbox"/> Yes <input type="checkbox"/> No
02.09	If yes, please provide details.	
02.10	Have the values of the site changed since inscription?	<input type="checkbox"/> Yes <input type="checkbox"/> No
02.11	If yes, please list any new values that have been identified or list any values that may have diminished (please do not list new values of national or local	

<sup>2</sup> Explanatory Notes: "At the time of inscription of a property on the World Heritage List, the World Heritage Committee indicates its World Heritage values by deciding on the criteria for inscription. Please indicate the justification for inscription provided by the State Party, and the criteria under which the Committee inscribed the property on the World Heritage List. In the view of the State Party, does the statement of significance adequately reflect the World Heritage values of the property or is a re-submission necessary? This could be considered, for example, to recognise cultural values of a natural World Heritage property, or vice-versa. This may become necessary either due to the substantive revision of the criteria by the World Heritage Committee or due to better identification or knowledge of specific outstanding universal values of the property. Another issue that might be reviewed here is whether the delimitation of the World Heritage property, and its buffer zone if appropriate, is adequate to ensure the protection and conservation of the World Heritage values embodied in it. A revision or extension of the boundaries might be considered in response to such a review. If a statement of significance is not available or incomplete, it will be necessary, in the first periodic report, for the State Party to propose such a statement. The statement of significance should reflect the criterion (criteria) on the basis of which the Committee inscribed the property on the World Heritage List. It should also address questions such as: What does the property represent, what makes the property outstanding, what are the specific values that distinguish the property, what is the relationship of the site with its setting, etc.? Such statement of significance will be examined by the Advisory Body(ies) concerned and transmitted to the World Heritage Committee for approval, if appropriate."

	importance).	
02.12	At the time of initial inscription or of any subsequent extension, did the WH Committee approve a Statement of Significance for the site, which defined the Outstanding Universal Value?	<input type="checkbox"/> Yes <input type="checkbox"/> No
02.13	If yes, does this Statement of Significance still adequately define and reflect the Outstanding Universal Value of the site?	<input type="checkbox"/> Yes <input type="checkbox"/> No
02.14	If no, has a revised Statement of Significance subsequently been developed for the site?	<input type="checkbox"/> Yes <input type="checkbox"/> No
02.15	If the original Statement of Significance is not adequate and no revised Statement of Significance has been developed, please supply one that sums up the Outstanding Universal Value now perceived in the site and also reflects the reasons for the site's inscription.	
02.16	Is UNESCO's official description of the site satisfactory? (see <a href="http://whc.unesco.org">http://whc.unesco.org</a> )	<input type="checkbox"/> Yes <input type="checkbox"/> No
02.17	If no, please suggest how this should be changed.	
02.18	Does the name of the site adequately reflect the property and its significance?	<input type="checkbox"/> Yes <input type="checkbox"/> No
02.19	If no, do you want to change the name of the site?	<input type="checkbox"/> Yes <input type="checkbox"/> No
02.20	If yes, please indicate any suggested name changes.	

<b>03</b>	<b>Boundary and Buffer Zone<sup>3</sup></b>	
03.01	Are the boundaries of the site adequate to reflect the site's significance?	<input type="checkbox"/> Yes <input type="checkbox"/> No
03.02	If no, why are they inadequate and what measures are being taken to re-define a boundary?	
03.03	Is there a buffer zone for the site?	<input type="checkbox"/> Yes <input type="checkbox"/> No
03.04	If yes, what does the buffer zone protect and is it adequate?	
03.05	If no, is a buffer zone needed to protect the site's significance? Select an option:	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Further work is needed to establish needs
03.06	If no, what other measures exist to protect the site instead of a buffer zone?	
03.07	If yes, what measures are being taken to define a buffer zone?	

<sup>3</sup> Explanatory Notes: "Another issue that might be reviewed here is whether the delimitation of the World Heritage property, and its buffer zone if appropriate, is adequate to ensure the protection and conservation of the World Heritage values embodied in it. A revision or extension of the boundaries might be considered in response to such a review."

<b>04</b>	<b>Authenticity and Integrity of the Site<sup>4</sup></b>	
04.01	Was an evaluation of the authenticity and integrity of the site carried out by ICOMOS/IUCN at the time of inscription?	<input type="checkbox"/> Yes <input type="checkbox"/> No
04.02	If yes, please provide brief details of this evaluation.	
04.03	If no, has the authenticity or the integrity of the site been re-assessed since inscription?	<input type="checkbox"/> Yes <input type="checkbox"/> No
04.04	If yes, please give details of the new assessment(s) and who carried it(them) out.	
04.05	Have there been significant changes in the authenticity and/or integrity of the Site since inscription?	<input type="checkbox"/> Yes <input type="checkbox"/> No
04.06	If yes, please describe these changes.	
04.07	List any foreseen future major changes, which might impact in the future on the authenticity and/or integrity of the site.	
04.08	Will these anticipated changes affect the Outstanding Universal Value of the site?	<input type="checkbox"/> Yes <input type="checkbox"/> No

<b>05</b>	<b>Management<sup>5</sup></b>	
05.01	How is the site currently used? <sup>6</sup>	
		<input type="checkbox"/> Paid visitor attraction <input type="checkbox"/> Urban center <input type="checkbox"/> National park <input type="checkbox"/> Religious use <input type="checkbox"/> Rural landscape

<sup>4</sup> Explanatory Notes: "Under this item it is necessary to review whether the values on the basis of which the property was inscribed on the World Heritage List, and reflected in the statement of significance under item II.2 above, are being maintained. This should also include the issue of authenticity/integrity in relation to the property. What was the evaluation of the authenticity/integrity of the property at the time of inscription? What is the authenticity/integrity of the property at present? Please note that a more detailed analysis of the conditions of the property is required under item II.6 of the Explanatory Notes on the basis of key indicators for measuring its state of conservation."

<sup>5</sup> Explanatory Notes: "Under this item, it is necessary to report on the implementation and effectiveness of protective legislation at the national, provincial or municipal level and/or contractual or traditional protection as well as of management and/or planning control for the property concerned, as well as on actions that are foreseen for the future, to preserve the values described in the statement of significance under item II.2. of the Explanatory Notes. The State Party should also report on significant changes in the ownership, legal status and/or contractual or traditional protective measures, management arrangements and management plans as compared to the situation at the time of inscription or the previous periodic report. In such case, the State Party is requested to attach to the periodic report all relevant documentation, in particular legal texts, management plans and/or (annual) work plans for the management and maintenance of the property. Full name and address of the agency or person directly responsible for the property should also be provided. The State Party could also provide an assessment of the human and financial resources that are available and required for the management of the property, as well as an assessment of the training needs for its staff. The State Party is also invited to provide information on scientific studies, research projects, education, information and awareness building activities directly related to the property and to comment on the degree to which heritage values of the property are effectively communicated to residents, visitors and the public. Matters that could be addressed are, among other things: is there a plaque at the site indicating that the property is a World Heritage property? Are there educational programmes for schools? Are there special events and exhibitions? What facilities, visitor centre, site museum, trails, guides, information material etc. are made available to visitors? What role does the World Heritage designation play in all these programmes and activities? Furthermore, the State Party is invited to provide statistical information, if possible on an annual basis, on income, visitor numbers, staff and other items if appropriate. On the basis of the review of the management of the property, the State Party may wish to consider if a substantive revision of the legislative and administrative provisions for the conservation of the property is required."

<sup>6</sup> Tick as many boxes as appropriate.

		<input type="checkbox"/> Other (please specify below)
05.02	Has a World Heritage site "steering group" been set up to guide the management of the site?	<input type="checkbox"/> Yes <input type="checkbox"/> No
05.03	If yes, please provide the following information.	
	When was it set up?	
	What is its function?	
	What is its mandate?	
	Is it legally or formally constituted?	<input type="checkbox"/> Legally <input type="checkbox"/> Formally
05.04	If no, what plans are in place to set up a "steering group"?	
05.05	How could the overall management system of the site best be described? <sup>7</sup>	
		<input type="checkbox"/> Management by the State Party
		<input type="checkbox"/> Management under protective legislation
		<input type="checkbox"/> Management under contractual agreement between the State Party and a third party
		<input type="checkbox"/> Management under traditional protective measures
		<input type="checkbox"/> Consensual management
		<input type="checkbox"/> Other effective management system (please specify below)
05.06	Has a "coordinator" been appointed to oversee the management of the site?	<input type="checkbox"/> Yes <input type="checkbox"/> No
05.07	If yes, does the coordinator work...	<input type="checkbox"/> Full-time <input type="checkbox"/> Part-time <input type="checkbox"/> Has responsibilities added onto an existing job
05.08	If no, is a coordinator needed?	<input type="checkbox"/> Yes <input type="checkbox"/> No
05.09	If so are there any plans to appoint a coordinator?	<input type="checkbox"/> Yes <input type="checkbox"/> No
05.10	Please indicate which level or levels of public authority are primarily involved with the management of the site. <sup>8</sup>	
		<input type="checkbox"/> National
		<input type="checkbox"/> Regional <sup>9</sup>
		<input type="checkbox"/> Local
		<input type="checkbox"/> Other (please specify below)
05.11	Are the current management systems effective and/or sufficient?	<input type="checkbox"/> Highly effective <input type="checkbox"/> Sufficiently effective <input type="checkbox"/> Not effective
05.12	Are any improvements needed?	<input type="checkbox"/> Yes <input type="checkbox"/> No
05.13	If yes, what action(s) are being taken?	

<b>06</b>	<b>Protection<sup>10</sup></b>	
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<sup>7</sup> Tick as many boxes as appropriate.

<sup>8</sup> Please check the appropriate boxes and give details in the text field below.

<sup>9</sup> "Regional" refers to federated states' level, administrative regions, provincial level.

<sup>10</sup> Explanatory notes: Under this item, it is necessary to report on the implementation and effectiveness of protective legislation at the national, provincial or municipal level and/or contractual or traditional protection as well as of management and/or planning control for the property concerned, as well as on actions that are foreseen for the future, to preserve the values described in the statement of significance under item II.2.

06.01	Does the site have special legislation or administrative arrangements (such as specific spatial planning and zoning requirements)?	<input type="checkbox"/> Yes <input type="checkbox"/> No
06.02	If yes, please describe these arrangements briefly.	
06.03	Have there been any significant changes in the ownership, legal status, contractual or traditional protective measures for the site since the time of inscription?	<input type="checkbox"/> Yes <input type="checkbox"/> No
06.04	If yes, please briefly describe these changes.	
06.05	Are the current protection arrangements effective and/or sufficient?	<input type="checkbox"/> Highly effective <input type="checkbox"/> Sufficiently effective <input type="checkbox"/> Not effective
06.06	Are any improvements needed?	<input type="checkbox"/> Yes <input type="checkbox"/> No
06.07	If yes, what actions are being taken and at what level?	

<b>07</b>	<b>Management Plans<sup>11</sup></b>	
07.01	Is there a management plan for the site?	<input type="checkbox"/> Yes <input type="checkbox"/> No
07.02	If yes, please provide the following information.	
	Is the plan being implemented?	<input type="checkbox"/> Yes <input type="checkbox"/> No
	If so, when did implementation start (mm/yyyy)?	<input type="checkbox"/> / <input type="checkbox"/>
	How many times has the plan been revised?	<input type="checkbox"/>
	When was the current version of the plan completed or, if currently being revised, when will it be completed?	<input type="checkbox"/> / <input type="checkbox"/>
	How was the management plan prepared (e.g. staff on site or a consultant)	
	Was it based on a Statement of Significance agreed by the "steering group" and/or the State Party?	<input type="checkbox"/> Yes <input type="checkbox"/> No
	Is the current management plan considered to be adequate to sustain the Outstanding Universal Value of the site?	<input type="checkbox"/> Very effective <input type="checkbox"/> Adequate <input type="checkbox"/> Not adequate
	Please outline how any issues are being addressed.	
	Is the current management plan available on CD?	<input type="checkbox"/> Yes <input type="checkbox"/> No

The State Party should also report on significant changes in the ownership, legal status and/or contractual or traditional protective measures, management arrangements and management plans as compared to the situation at the time of inscription or the previous periodic report. In such case, the State Party is requested to attach to the periodic report all relevant documentation, in particular legal texts, management plans and/or (annual) work plans for the management and maintenance of the property. Full name and address of the agency or person directly responsible for the property should also be provided.

<sup>11</sup> Explanatory Notes: "The State Party should also report on significant changes in the ownership, legal status and/or contractual or traditional protective measures, management arrangements and management plans as compared to the situation at the time of inscription or the previous periodic report. In such case, the State Party is requested to attach to the periodic report all relevant documentation, in particular legal texts, management plans and/or (annual) work plans for the management and maintenance of the property. Full name and address of the agency or person directly responsible for the property should also be provided."

	Have copies of the management plan been sent to the World Heritage Centre or to the Advisory Bodies?	<input type="checkbox"/> Yes <input type="checkbox"/> No
07.03	If no management plan exists, is one under preparation or is preparation of such a plan foreseen for the future?	<input type="checkbox"/> Yes <input type="checkbox"/> No
07.04	If yes, when will the management plan be completed and adopted (mm/yyyy)?	<input type="checkbox"/> Yes <input type="checkbox"/> No
07.05	Please indicate which body(ies) has(have) responsibility for over-seeing the implementation of the management plan and monitoring its effectiveness.	
07.06	Has the site been the subject of (a) Reactive Monitoring Report(s) to the Committee?	<input type="checkbox"/> Yes <input type="checkbox"/> No
07.07	If yes, please summarize the Committee's decision(s).	
07.08	If yes, what action has been taken to implement the Committee's decision(s)?	

<b>08</b>	<b>Financial Resources<sup>12</sup></b>	
08.01	Can you provide information on the annual operating budget for the site in the last financial year? (This should include core revenue funds for the direct management of the site only, such as staff costs for a coordinator, specific ongoing cross-site work etc.)	<input type="checkbox"/> Yes <input type="checkbox"/> No
08.02	If yes, please provide this information (for sites consisting of more than one property provide the budgets of constituent parts). Please indicate where these funds come from.	
08.03	If no, please explain why you cannot give details and provide an estimate if possible, or state if there is no core funding.	
	Explanation:	
	Estimate (EUR or USD):	
08.04	Has extra funding been drawn in through World Heritage status?	<input type="checkbox"/> Yes <input type="checkbox"/> No
08.05	If yes, please give details.	
08.06	Does the site have sufficient funding available for the adequate management of the site (bearing in mind the extra obligations World Heritage status brings)?	<input type="checkbox"/> More than sufficient
		<input type="checkbox"/> Sufficient
		<input type="checkbox"/> Not sufficient
08.07	Are key aspects of the site's management plan being met (if one exists)?	<input type="checkbox"/> Yes <input type="checkbox"/> No
08.08	If no, what financial resources are needed	

<sup>12</sup> Explanatory Notes for items 8, 9 and 10: "The State Party could also provide an assessment of the human and financial resources that are available and required for the management of the property, as well as an assessment of the training needs for its staff."

	for the proper management of the Site? Please also provide details on those needs that are not being met due to lack of funding.	
	Estimated amount: (EUR or USD)	
	Needs not being met:	
08.09	Is funding for the protection and conservation of the site adequate?	<input type="checkbox"/> Yes <input type="checkbox"/> No
08.10	If no, please indicate how this is being addressed.	
08.11	Please indicate what sources provide funding for the conservation and protection of the site.	
08.12	Has the site received any of the following financial assistance?	<input type="checkbox"/> World Heritage Fund
		<input type="checkbox"/> UNESCO International Campaign
		<input type="checkbox"/> National and/or regional projects of UNDP, the World Bank or other agencies
		<input type="checkbox"/> Bi-lateral cooperation
		<input type="checkbox"/> Other assistance (please specify below)

<b>09</b>	<b>Staffing Levels (Human Resources)<sup>13</sup></b>	
09.01	How do you rate the access that you have to adequate professional staff across the following disciplines?	
	Conservation	<input type="checkbox"/> Very good <input type="checkbox"/> Good <input type="checkbox"/> Average <input type="checkbox"/> Bad <input type="checkbox"/> Very Bad
	Management	<input type="checkbox"/> Very good <input type="checkbox"/> Good <input type="checkbox"/> Average <input type="checkbox"/> Bad <input type="checkbox"/> Very Bad
	Promotion	<input type="checkbox"/> Very good <input type="checkbox"/> Good <input type="checkbox"/> Average <input type="checkbox"/> Bad <input type="checkbox"/> Very Bad
	Interpretation	<input type="checkbox"/> Very good <input type="checkbox"/> Good <input type="checkbox"/> Average <input type="checkbox"/> Bad <input type="checkbox"/> Very Bad
	Education	<input type="checkbox"/> Very good <input type="checkbox"/> Good <input type="checkbox"/> Average <input type="checkbox"/> Bad <input type="checkbox"/> Very Bad
	Visitor management	<input type="checkbox"/> Very good <input type="checkbox"/> Good <input type="checkbox"/> Average <input type="checkbox"/> Bad <input type="checkbox"/> Very Bad

<sup>13</sup> Explanatory Notes: "The State Party could also provide an assessment of the human and financial resources that are available and required for the management of the property, as well as an assessment of the training needs for its staff."

09.02	Do you have access to any specialized conservation and conservation management skills and expertise not covered above?	<input type="checkbox"/> Yes <input type="checkbox"/> No
09.03	If yes, please provide details.	
09.04	Are there adequate staff resources to protect, maintain and promote the site?	<input type="checkbox"/> Yes <input type="checkbox"/> No
09.05	If no, please explain.	
09.06	How many staff members are dedicated full-time to the World Heritage site?	<input type="checkbox"/>
09.07	Do you have the support of regular volunteers in the site?	<input type="checkbox"/> Yes <input type="checkbox"/> No
09.08	If yes, please give details.	

<b>10</b>	<b>Sources of Expertise and Training in Conservation and Management Techniques<sup>14</sup></b>	
10.01	What types of specialized expertise, training and services on or off-site (e.g. training centers, museum conservation facilities) are available for staff working in the site?	
10.02	Are there any training needs currently not being met? Please provide details and a brief explanation.	
10.03	Is training available for stakeholders on the site (e.g. home-owners, schools etc.)?	<input type="checkbox"/> Yes <input type="checkbox"/> No

<b>11</b>	<b>Visitors<sup>15</sup></b>	
11.01	Are there visitor statistics available for the site?	<input type="checkbox"/> Yes <input type="checkbox"/> No
11.02	If yes, please provide the annual visitor numbers for the most recent year available, indicating what year that is, a brief summary of the methodology for counting visitors, and briefly describe visitor trends. (In describing these trends, please use the year of inscription as a baseline.)	
	Number of visitors:	
	Over the following year:	
	Counting methodology:	
	Trend:	
11.03	Please briefly describe the visitor facilities at the site.	
11.04	Are these facilities adequate?	<input type="checkbox"/> Yes <input type="checkbox"/> No
11.05	If no, what facilities is the site in need of?	
11.06	Is there a tourism/visitor management plan for the site?	<input type="checkbox"/> Yes <input type="checkbox"/> No
11.07	If yes, please briefly summarize the plan or if available please provide a web address where the plan can be consulted.	

<sup>14</sup> Explanatory Notes: The State Party could also provide an assessment of the human and financial resources that are available and required for the management of the property, as well as an assessment of the training needs for its staff.

<sup>15</sup> Explanatory Notes: Furthermore, the State Party is invited to provide statistical information, if possible on an annual basis, on income, visitor numbers, staff and other items if appropriate.

<b>12 Scientific Studies<sup>16</sup></b>		
12.01	Is there an agreed research framework/strategy for the site?	<input type="checkbox"/> Yes <input type="checkbox"/> No
12.02	Have any of the following scientific studies and research programs been conducted specifically for the site?	<input type="checkbox"/> Risk Assessment <input type="checkbox"/> Studies related to the value of the site <input type="checkbox"/> Monitoring exercises <input type="checkbox"/> Condition surveys <input type="checkbox"/> Impact of World Heritage designation <input type="checkbox"/> Archaeological surveys <input type="checkbox"/> Visitor Management <input type="checkbox"/> Transportation studies <input type="checkbox"/> Other (please specify below)
12.03	Please provide brief details as to these studies.	
12.04	Please describe how the results of studies and research programs have been used in managing the site.	
12.05	What role, if any, has the property's designation as a World Heritage site played in the design of these scientific studies and research programs? For example, has there been a specific effort in these programs to focus on the recognized World Heritage values of the property?	

<b>13 Education, Information and Awareness Building<sup>17</sup></b>		
13.01	Are there signs at the property to show that it is a World Heritage site?	<input type="checkbox"/> Too many <input type="checkbox"/> Many <input type="checkbox"/> An adequate number <input type="checkbox"/> Not enough <input type="checkbox"/> None
13.02	Is the World Heritage Convention logo used on all of the publications for the property?	<input type="checkbox"/> Yes <input type="checkbox"/> On some <input type="checkbox"/> No
13.03	Is there adequate awareness of the World Heritage site amongst:	
	Visitors:	<input type="checkbox"/> Yes <input type="checkbox"/> No
	Local communities:	<input type="checkbox"/> Yes <input type="checkbox"/> No
	Businesses:	<input type="checkbox"/> Yes <input type="checkbox"/> No
	Local authorities:	<input type="checkbox"/> Yes <input type="checkbox"/> No
13.04	Where awareness is poor, what measures are needed to raise it?	

<sup>16</sup> Explanatory Notes: "The State Party is also invited to provide information on scientific studies, research projects, education, information and awareness building activities directly related to the property and to comment on the degree to which heritage values of the property are effectively communicated to residents, visitors and the public."

<sup>17</sup> Explanatory Notes: "Matters that could be addressed are, among other things: is there a plaque at the site indicating that the property is a World Heritage property? Are there educational programmes for schools? Are there special events and exhibitions? What facilities, visitor centre, site museum, trails, guides, information material etc. are made available to visitors? What role does the World Heritage designation play in all these programmes and activities?"

13.05	Is there an agreed education strategy or program for the Site?	<input type="checkbox"/> Yes <input type="checkbox"/> No
13.06	If yes, please briefly describe the strategy/program.	
13.07	If no, are there any plans to develop education programs or work with schools?	<input type="checkbox"/> Yes <input type="checkbox"/> No
13.08	Are there special events and exhibitions concerning the site's World Heritage status?	<input type="checkbox"/> Yes <input type="checkbox"/> No
13.09	If yes, please briefly describe them.	
13.10	Please briefly describe the facilities, visitor center, site museum, trails, guides and/or information material that are available to visitors to the World Heritage site. (You can cross reference this to Q. 11.03 if appropriate.)	
13.11	What role, if any, has the property's designation as a World Heritage site played with respect to the education, information and awareness building activities described above? For example, has the World Heritage designation been used as a marketing or promotional or educational tool?	
13.12	Does the site have a website?	<input type="checkbox"/> Yes <input type="checkbox"/> No
13.13	If yes, who manages the website?	
13.14	Have any steps been taken to involve local people in the management of the site in connection with delivering social benefits?	

<b>14</b>	<b>Factors Affecting the Property (State of Conservation)<sup>18</sup></b>	
14.01	Please provide brief details on all major conservation interventions at the Site since inscription (e.g. conservation of major structures, major excavations, reversal of threats to buried archaeology such as ploughing, rehabilitation and sustainable use of historic buildings).	
14.02	Please briefly describe the present state of overall conservation of the site.	<input type="checkbox"/> Very good <input type="checkbox"/> Good <input type="checkbox"/> Adequate <input type="checkbox"/> Patchy <input type="checkbox"/> Needs more resources

<sup>18</sup> Explanatory Notes: "Please comment on the degree to which the property is threatened by particular problems and risks. Factors that could be considered under this item are those that are listed in the nomination format, e.g. development pressure, environmental pressure, natural disasters and preparedness, visitor/tourism pressure, number of inhabitants. Considering the importance of forward planning and risk preparedness, provide relevant information on operating methods that will make the State Party capable of counteracting dangers that threaten or may endanger its cultural or natural heritage. Problems and risks to be considered could include earthquakes, floods, land-slides, vibrations, industrial pollution, vandalism, theft, looting, changes in the physical context of properties, mining, deforestation, poaching, as well as changes in land-use, agriculture, road building, construction activities, tourism. Areas where improvement would be desirable, and towards which the State Party is working should be indicated. This item should provide up-to-date information on all factors which are likely to affect or threaten the property. It should also relate those threats to measures taken to deal with them. An assessment should also be given if the impact of these factors on the property is increasing or decreasing and what actions to address them have been effectively taken or are planned for the future."

		<input type="checkbox"/> Very vulnerable
14.03	Has the site or setting been affected or could it be affected by any of the following problems?	<input type="checkbox"/> Development pressure <input type="checkbox"/> Environmental pressure <input type="checkbox"/> Natural disasters <input type="checkbox"/> Number of inhabitants <input type="checkbox"/> Visitor/tourism pressure <input type="checkbox"/> Agricultural/forestry regimes <input type="checkbox"/> Other (please specify below)
14.04	Are any of these problems/threats directly attributable to World Heritage status?	<input type="checkbox"/> Yes <input type="checkbox"/> No
14.05	If yes, please provide details.	
14.06	Please give details of major problems/threats.	
14.07	What actions have been taken, or are planned for the future, to address these problems? (If this is in the Management Plan, please quote the relevant section.)	

<b>15</b>	<b>Monitoring<sup>19</sup></b>	
15.01	Is there a formal monitoring program for the site?	<input type="checkbox"/> Yes <input type="checkbox"/> No
15.02	If yes, please describe it, indicating what factors or variables are being monitored and by what process.	
15.03	If no, please indicate whether the World Heritage site management authority is developing or plans to develop key indicators for monitoring how the Outstanding Universal Value of the site are being sustained.	

<b>16</b>	<b>Conclusions<sup>20</sup></b>	
16.01	What do you consider to be the main benefits of WH status?	<input type="checkbox"/> Conservation <input type="checkbox"/> Social <input type="checkbox"/> Economic

<sup>19</sup> Explanatory Notes: "Whereas item II.3 of the periodic report provides an overall assessment of the maintenance of the World Heritage values of the property, this item analyses in more detail the conditions of the property on the basis of key indicators for measuring its state of conservation. If no indicators were identified at the time of inscription of the property on the World Heritage List, this should be done in the first periodic report. The preparation of a periodic report can also be an opportunity to evaluate the validity of earlier identified indicators and to revise them, if necessary. Up-to-date information should be provided in respect of each of the key indicators. Care should be taken to ensure that this information is as accurate and reliable as possible, for example by carrying out observations in the same way, using similar equipment and methods at the same time of the year and day. Indicate which partners if any are involved in monitoring and describe what improvement the State Party foresees or would consider desirable in improving the monitoring system. In specific cases, the World Heritage Committee and/or its Bureau may have already examined the state of conservation of the property and made recommendations to the State Party, either at the time of inscription or afterwards. In such cases the State Party is requested to report on the actions that have been taken in response to the observations or recommendations made by the Bureau or Committee."

<sup>20</sup> Explanatory Notes: "The main conclusions under each of the items of the state of conservation report, but in particular as to whether the World Heritage values of the property are maintained, should be summarized and tabulated together with: a. Main conclusions regarding the state of the World Heritage values of the property (see items II.2. and II.3. above), b. Main conclusions regarding the management and factors affecting the property (see Items II.4 and II.5. above), c. Proposed future action/actions, d. Responsible implementing agency/agencies, e. Timeframe for implementation, f. Needs for international assistance. The State Party is also requested to indicate what experience the State Party has obtained which could be relevant to others dealing with similar problems or issues. Please provide names of organizations or specialists who could be contacted for this purpose."

		<input type="checkbox"/> Management <input type="checkbox"/> Other (please specify below)
16.02	Please provide a brief summary of what has been achieved at the site since inscription onto the World Heritage List.	
16.03	What are the weaknesses of the site?	
16.04	What future action(s) if any will be taken to address these weaknesses?	

<b>17</b>	<b>Potential Decisions for the World Heritage Committee<sup>21</sup></b>	
17.01	As a result of this reporting exercise, is there a need to seek a decision from the World Heritage Committee on any of the following?	
	Changes to criteria for inscription:	<input type="checkbox"/> Yes <input type="checkbox"/> No
	Changes to Statement of Significance:	<input type="checkbox"/> Yes <input type="checkbox"/> No
	New Statement of Significance:	<input type="checkbox"/> Yes <input type="checkbox"/> No
	Changes to boundaries:	<input type="checkbox"/> Yes <input type="checkbox"/> No
	Changes to buffer zone:	<input type="checkbox"/> Yes <input type="checkbox"/> No

<b>18</b>	<b>Assessment of the Periodic Reporting Exercise</b>	
18.01	How do you assess the information made available during the preparation phase of Periodic Reporting (e.g. information given, meetings etc.)? Please comment below.	<input type="checkbox"/> Very good <input type="checkbox"/> Good <input type="checkbox"/> Average <input type="checkbox"/> Bad <input type="checkbox"/> Very Bad
18.02	How do you assess the clarity and user-friendliness of the questionnaire? Please comment below.	<input type="checkbox"/> Very good <input type="checkbox"/> Good <input type="checkbox"/> Average <input type="checkbox"/> Bad <input type="checkbox"/> Very Bad
18.03	Do you think the Periodic Reporting process will produce any benefits to the site? Please comment.	<input type="checkbox"/> Yes <input type="checkbox"/> No
18.04	Please outline the expected outcome of Periodic Reporting and the desired follow-up by the World Heritage Committee.	

<b>19</b>	<b>Documentation Checklist<sup>22</sup></b>	
19.01	Please indicate if you will be submitting any of the following documents with this report:	
	Photographs, slides and where available, film (this material should be accompanied by a duly signed authorization granting, free of charge to UNESCO, the non-exclusive right for the legal term of copyright to reproduce and use it in accordance with the terms of the authorization attached):	<input type="checkbox"/> Yes <input type="checkbox"/> No
	Topographic or other map or site plan which locates the World Heritage site and its boundaries, showing scale, orientation, projection, datum, site name and date:	<input type="checkbox"/> Yes <input type="checkbox"/> No
	A digital map of the World Heritage site, showing its location and boundaries or a	<input type="checkbox"/> Yes <input type="checkbox"/> No

<sup>21</sup> Please indicate under this item if there is a need to seek a decision from the World Heritage Committee on the criteria for inscription, the statement of significance and the boundaries of the site.

<sup>22</sup> Please send copies of all the documentation attached to the final printed report. Please also send electronic copies to [m.rossler@unesco.org](mailto:m.rossler@unesco.org)

	website address where the map can be found:	
	A concise bibliography of key publications on the World Heritage site:	<input type="checkbox"/> Yes <input type="checkbox"/> No
	A copy of the management plan:	<input type="checkbox"/> Yes <input type="checkbox"/> No
	A copy of the Statement of Significance as referred to in paragraph 02.13:	<input type="checkbox"/> Yes <input type="checkbox"/> No
	A copy of the revised Statement of Significance as referred to in paragraph 02.14 (if applicable):	<input type="checkbox"/> Yes <input type="checkbox"/> No
	Documentation on any special legislation or administrative arrangements for the protection of the World Heritage site as referred to in paragraph 06.01:	<input type="checkbox"/> Yes <input type="checkbox"/> No
	Copies of the Committee's decision(s) following any Reactive Monitoring Report as referred to in paragraph 07.07:	<input type="checkbox"/> Yes <input type="checkbox"/> No
	<b>THANK YOU VERY MUCH FOR YOUR COOPERATION!</b>	

## ANNEX



UNITED NATIONS EDUCATIONAL, SCIENTIFIC AND  
CULTURAL ORGANIZATION

### Convention concerning the protection of the world cultural and natural heritage



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## Format for the periodic reporting on the application of the World Heritage Convention

Under the terms of Article 29 of the Convention concerning the Protection of the World Cultural and Natural Heritage, adopted by the General Conference of UNESCO in 1972, *the States Parties of this Convention shall, in the reports which they submit to the general Conference of the United Nations Educational, Scientific and Cultural Organization on dates and in a manner to be determined by it, give information on the legislative and administrative provisions which they have adopted and other action which they have taken for the application of this Convention, together with details of the experience acquired in this field.*

The twenty-ninth General Conference of UNESCO, held in 1997, *invited the States Parties to the Convention for the Protection of the World Cultural and Natural Heritage to submit to it in accordance with Article 29 of the Convention, through the World Heritage Committee, via its Secretariat, the UNESCO World Heritage Centre, reports on the legislative and administrative provisions they have adopted and other actions which they have taken for the application of the Convention, including the state of conservation of the World Heritage properties located on their territories.*

*It also requested the World Heritage Committee to define the periodicity, form, nature and extent of the periodic reporting on the application of the World Heritage Convention and on the state of conservation of World Heritage properties and to examine and respond to these reports in*

*accordance with the principle of State sovereignty.*

The World Heritage Committee, at its twenty-second session held in 1998, invited States Parties to submit periodic reports every six years using the attached Format and Explanatory Notes for periodic reports.

It also decided to examine the States Parties' periodic reports region by region as per the timetable included under (ii) (c) of the Introduction to the Explanatory Notes attached. In order to facilitate the preparation and examination of the periodic reports, the Committee requested the Secretariat, jointly with the Advisory Bodies, and making use of States Parties, competent institutions and expertise available within the region, to develop regional strategies for the periodic reporting process.

The Secretariat will consult States Parties in a timely fashion with regard to the development and implementation of the regional strategies.

For further information please contact:

**UNESCO World Heritage Centre**  
**7, place de Fontenoy**  
**75352 Paris 07 SP**  
**France**

To facilitate management of information, States Parties are requested to submit reports in English or French in electronic as well as printed form.



# **PERIODIC REPORTING ON THE APPLICATION OF THE WORLD HERITAGE CONVENTION**

Format

## **EXECUTIVE SUMMARY**

### **SECTION I: APPLICATION OF THE WORLD HERITAGE CONVENTION BY THE STATE PARTY**

#### **I.1. Introduction**

- a. State Party
- b. Year of ratification or acceptance of the Convention
- c. Organization(s) or entity(ies) responsible for the preparation of the report
- d. Date of the report
- e. Signature on behalf of State Party

#### **I.2. Identification of cultural and natural heritage properties**

- a. National inventories
- b. Tentative List
- c. Nominations

#### **I.3. Protection, conservation and presentation of the cultural and natural heritage**

- a. General policy development
- b. Status of services for protection, conservation and presentation
- c. Scientific and technical studies and research
- d. Measures for identification, protection, conservation, presentation and rehabilitation
- e. Training

#### **I.4. International co-operation and fund raising**

#### **I.5. Education, information and awareness building**

## **I.6. Conclusions and recommended action**

- a. Main conclusions
- b. Proposed future action(s)
- c. Responsible implementing agency(ies)
- d. Timeframe for implementation
- e. Needs for international assistance.

## **SECTION II: STATE OF CONSERVATION OF SPECIFIC WORLD HERITAGE PROPERTIES**

### II.1 Introduction

- a. State Party
- b. Name of World Heritage property
- c. Geographical coordinates to the nearest second
- d. Date of inscription on the World Heritage List
- e. Organization(s) or entity(ies) responsible for the preparation of the report
- f. Date of report
- g. Signature on behalf of State Party

### II.2. Statement of significance

### II.3. Statement of authenticity/integrity

### II.4. Management

### II.5. Factors affecting the property

### II.6. Monitoring

### II.7. Conclusions and recommended action

- a. Main conclusions regarding the state of the World Heritage values of the property (see items II.2. and II.3. above)
- b. Main conclusions regarding the management and factors affecting the property (see Items II.4 and II.5. above)
- c. Proposed future action/actions
- d. Responsible implementing agency/agencies
- e. Timeframe for implementation
- f. Needs for international assistance.

# PERIODIC REPORTING ON THE APPLICATION OF THE WORLD HERITAGE CONVENTION

## Explanatory Notes

### INTRODUCTION

- (i) These Explanatory Notes are designed to provide guidance to those preparing periodic reports. They relate to the headings under which information is sought. Periodic reports should provide information under each of these headings. They should be signed by a responsible official on behalf of the State Party. These notes, particularly those referring to Section II of the periodic reports, are intended to be read in conjunction with the Explanatory Notes on the Format for the nomination of properties for inclusion on the World Heritage List that were adopted by the World Heritage Committee at its twentieth session. The nomination Format and the Explanatory Notes to it are available from the UNESCO World Heritage Centre (document number WHC-97/WS/6 and on the UNESCO World Heritage Internet pages <http://www.unesco.org/whc/>).

### **Background**

- (ii) The twenty-ninth General Conference of UNESCO, held in 1997,

*Invited the States Parties to the Convention for the Protection of the World Cultural and Natural Heritage to submit to it in accordance with Article 29 of the Convention, through the World Heritage Committee, via its Secretariat, the UNESCO World Heritage Centre, reports on the legislative and administrative provisions they have adopted and other actions which they have taken for the application of the Convention, including the state of conservation of the World Heritage properties located on their territories.*

*Requested the World Heritage Committee to define the periodicity, form, nature and extent of the periodic reporting on the application of the World Heritage Convention and on the state of conservation of World Heritage properties and to examine and respond to these reports in accordance with the principle of State sovereignty.*

*Requested the World Heritage Committee to include in its reports to the General Conference, submitted in accordance with Article 29.3 of the Convention, its findings with regard to the application of the Convention by the States Parties.*

(iii) The World Heritage Committee, at its twenty-second session held in 1998, adopted the Format and Explanatory Notes contained in this document and decided to:

- (a) Invite States Parties to the World Heritage Convention to submit, in accordance with Article 29 of the World Heritage Convention and the decisions of the Eleventh General Assembly of States Parties and the 29<sup>th</sup> General Conference of UNESCO, periodic reports on the legislative and administrative provisions and other actions which they have taken for the application of the World Heritage Convention, including the state of conservation of the World Heritage properties located on its territories ;
- (b) Invite States Parties to submit periodic reports every six years using the Format for periodic reports as adopted by the World Heritage Committee at its twenty-second session ;
- (c) Express its wish to examine the States Parties' periodic reports region by region. This will include the state of conservation of properties inscribed on the World Heritage List according to the following table:

Region	Examination of properties inscribed up to and including	Year of Examination by Committee
Arab States	1992	2000
Africa	1993	2001
Asia and the Pacific	1994	2002
Latin America and the Caribbean	1995	2003
Europe and North America	1996/1997	2004/2005

- (d) Request the Secretariat, jointly with the Advisory Bodies, and making use of States Parties, competent institutions and expertise available within the region, to develop regional strategies for the periodic reporting process as per the time table established under (c) above. These strategies should respond to specific characteristics of the regions and should promote coordination and synchronization between States Parties, particularly in the case of transboundary properties.

**Purpose of periodic reporting**

(iv) The periodic reporting on the application of the World Heritage Convention is intended to serve four main purposes:

- to provide an assessment of the application of the World Heritage Convention by the State Party;

- to provide an assessment as to whether the World Heritage values of the properties inscribed on the World Heritage List are being maintained over time;
- to provide up-dated information about the World Heritage properties to record the changing circumstances and state of conservation of the properties;
- to provide a mechanism for regional co-operation and exchange of information and experiences between States Parties concerning the implementation of the Convention and World Heritage conservation.

### **Format for periodic reports**

- (v) The Format for the periodic reports by the States Parties consists of two sections:

**Section I** refers to the legislative and administrative provisions which the State Party has adopted and other actions which it has taken for the application of the Convention, together with details of the experience acquired in this field. This particularly concerns the general obligations and commitments defined in specific articles of the Convention.

**Section II** refers to the state of conservation of specific World Heritage properties located on the territory of the State Party concerned. This Section should be completed for each World Heritage property.

### **General Requirements**

- (vi) Information should be as precise and specific as possible. It should be quantified where possible and fully referenced.
- (vii) Information should be concise. In particular long historical accounts of sites and events which have taken place there should be avoided, especially when they can be found in readily available published sources.
- (viii) Expressions of opinion should be supported by reference to the authority on which they are made and the verifiable facts which support them.
- (ix) Periodic reports should be completed on A4 paper (210mm x 297mm) with maps and plans a maximum of A3 paper (297mm x 420mm). States Parties are also encouraged to submit the full text of the periodic reports in electronic form.

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## **SECTION I: APPLICATION OF THE WORLD HERITAGE CONVENTION BY THE STATE PARTY**

- (I.i) The *Convention concerning the Protection of the World Cultural and Natural Heritage* was adopted by the General Conference of the United Nations Educational, Scientific and Cultural Organization at its seventeenth session on 16 November 1972. The World Heritage Committee, established under the World Heritage Convention, has prepared the *Operational Guidelines for the Implementation of the World Heritage Convention* which guide the work of the Committee in establishing the World Heritage List and the List of World Heritage in Danger, granting international assistance and treating other questions related to the implementation of the Convention.
- (I.ii) In ratifying or accepting the World Heritage Convention, States Parties accept their duty of ensuring the identification, protection, conservation, presentation and transmission to future generations of the cultural and natural heritage (Article 4) as defined in the Convention (Articles 1 and 2). These measures are further defined in several Articles in the Convention, e.g. Articles 5, 6, 11, 16, 17, 18, 27 and 28.
- (I.iii) In Section I of the periodic report, States Parties are requested to “*give information on the legislative and administrative provisions which they have adopted and other action which they have taken for the application of this Convention, together with details of the experience acquired in this field*” (Article 29.1 of the World Heritage Convention).
- (I.iv) States Parties are invited to provide information under the following headings:

### **I.1. Introduction**

- a. State Party
- b. Year of ratification or acceptance of the Convention
- c. Organization(s) or entity(ies) responsible for the preparation of the report
- d. Date of the report
- e. Signature on behalf of State Party

### **I.2. Identification of cultural and natural heritage properties**

This item refers in particular to Articles 3, 4 and 11 of the Convention regarding the identification of cultural and natural heritage and the nomination of properties for inscription on the World Heritage List.

**a. National inventories**

Inventories of cultural and natural heritage of national significance form the basis for the identification of possible World Heritage properties. Indicate which institutions are in charge of the preparation and keeping up-to-date of these national inventories and if, and to what extent, inventories, lists and/or registers at the local, state and/or national level exist and have been completed.

**b. Tentative List**

Article 11 of the Convention refers to the submission by States Parties of inventories of property suitable for inclusion in the World Heritage List.

Provide the date of submission of the Tentative List or any revision made since its submission. States Parties are also encouraged to provide a description of the process of preparation and revision of the Tentative List, e.g. has(have) any particular institution(s) been assigned the responsibility for identifying and delineating World Heritage properties, have local authorities and local population been involved in its preparation? If so, provide exact details.

**c. Nominations**

List properties that have been nominated for inscription on the World Heritage List. States Parties are encouraged to provide an analysis of the process by which these nominations are prepared, the collaboration and co-operation with local authorities and people, the motivation, obstacles and difficulties encountered in that process and perceived benefits and lessons learnt.

**I.3. Protection, conservation and presentation of the cultural and natural heritage**

This item refers in particular to Articles 4 and 5 of the Convention, in which States Parties recognise their duty of ensuring the identification, protection, conservation, presentation and transmission to future generations of the cultural and natural World Heritage and that effective and active measures are taken to this effect. Article 5 of the Convention specifies the following measures:

**a. General policy development**

Provide information on the adoption of policies that aim to give the cultural and natural heritage a function in the life of the community. Provide information on the way the State Party or the relevant authorities has(have) taken steps to integrate the protection of World Heritage properties into comprehensive planning programmes. Areas where improvement would be desirable, and towards which the State Party is working should be indicated.

**b. Status of services for protection, conservation and presentation**

Provide information on any services within the territories of the State Party which have been set up or have been substantially improved since the previous periodic report, if applicable. Particular attention should be given to services aiming at the protection, conservation and presentation of the cultural and natural heritage, indicating the appropriate staff and the means to discharge their functions. Areas where improvement would be desirable, and towards which the State Party is working should be indicated.

**c. Scientific and technical studies and research**

List significant scientific and technical studies or research projects of a generic nature that would benefit World Heritage properties, initiated or completed since the last periodic report. Areas where improvement would be desirable, and towards which the State Party is working should be indicated.

Site specific scientific studies or research projects should be reported upon under Section II.4.

**d. Measures for identification, protection, conservation, presentation and rehabilitation**

Indicate appropriate legal and administrative measures that the State Party or relevant authorities have taken for the identification, protection, conservation, presentation and rehabilitation of cultural and natural heritage. Particular attention should be given to measures concerning visitor management and development in the region. The State Party is also encouraged to indicate if, on the basis of the experiences gained, policy and/or legal reform is considered necessary. It is also relevant to note which other international conventions for the protection of cultural or natural heritage have been signed or ratified by the State Party and if so, how the application of these different legal instruments is co-ordinated and integrated in national policies and planning.

Indicate relevant scientific, and technical measures that the State Party or relevant institutions within the State have taken for the identification, protection, conservation, presentation and rehabilitation of cultural and natural heritage.

Indicate relevant financial measures that the State Party or relevant authorities have taken for the identification, protection, conservation, presentation and rehabilitation of cultural and natural heritage.

Information on the presentation of the heritage can refer to publications, internet web-pages, films, stamps, postcards, books etc.

Areas where improvement would be desirable, and towards which the State Party is working should be indicated.

**e. Training**

Provide information on the training and educational strategies that have been implemented within the State Party for professional capacity building, as well as on the establishment or development of national or regional centres for training and education in the protection, conservation, and presentation of the cultural and natural heritage, and the degree to which such training has been integrated within existing university and educational systems.

Indicate the steps that the State has taken to encourage scientific research as a support to training and educational activities.

Areas where improvement would be desirable, and towards which the State Party is working should be indicated.

**I.4. International co-operation and fund raising**

This item refers particularly to Articles 4, 6, 17 and 18 of the Convention.

Provide information on the co-operation with other States Parties for the identification, protection, conservation and preservation of the World Heritage located on their territories.

Also indicate which measures have been taken to avoid damage directly or indirectly to the World Heritage on the territory of other States Parties.

Have national, public and private foundations or associations been established for, and has the State Party given assistance to, raising funds and donations for the protection of the World Heritage?

**I.5. Education, information and awareness building**

This item refers particularly to Articles 27 and 28 of the Convention on educational programmes.

Indicate steps that the State Party has taken to raise the awareness of decision-makers, property owners, and the general public about the protection and conservation of cultural and natural heritage.

Provide information on education (primary, secondary and tertiary) and information programmes that have been undertaken or are planned to strengthen

appreciation and respect by the population, to keep the public broadly informed of the dangers threatening the heritage and of activities carried out in pursuance of the Convention. Does the State Party participate in the UNESCO Special Project *Young People's Participation in World Heritage Preservation and Promotion*?

Information on site-specific activities and programmes should be provided under item II.4 below.

## **I.6. Conclusions and recommended action**

The main conclusions under each of the items of Section I of the report should be summarized and tabulated together with the proposed action(s) to be taken, the agency(ies) responsible for taking the action(s) and the timeframe for its execution:

- a. Main conclusions
- b. Proposed future action(s)
- c. Responsible implementing agency(ies)
- d. Timeframe for implementation
- e. Needs for international assistance

States Parties are also encouraged to provide in their first periodic report an analysis of the process by which they ratified the Convention, the motivation, obstacles and difficulties encountered in that process and perceived benefits and lessons learnt.

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## **SECTION II: STATE OF CONSERVATION OF SPECIFIC WORLD HERITAGE PROPERTIES**

- (II.i) The twenty-ninth General Conference of UNESCO, in its decision regarding the application of Article 29 of the World Heritage Convention, invited the States Parties to submit reports on the application of the World Heritage Convention, *including the state of conservation of the World Heritage properties located on their territories.*
- (II.ii) The primary documents in respect of each World Heritage property are the nomination dossier as it was submitted by the State Party and the decision of the World Heritage Committee regarding the inscription of the property on the World Heritage List.
- (II.iii) The preparation of periodic state of conservation reports should involve those who are responsible for the day-to-day management of the property. For trans-boundary properties it is recommended that reports be prepared jointly by or in close collaboration between the agencies concerned. The preparation of periodic state of conservation reports could include expert advice from the Secretariat and/or the Advisory Bodies, if and when the State Party(ies) concerned so wish(es).
- (II.iv) The first periodic report should update the information provided in the original nomination dossier. Subsequent reports will then focus on any changes that may have occurred since the previous report was submitted.

This section of the periodic report follows, therefore, the format for the nomination dossier.

- (II.v) The state of properties included in the List of World Heritage in Danger is reviewed by the World Heritage Committee at regular intervals, in general once every year. This review concentrates on the specific factors and considerations that led to the inscription of the property on the List of World Heritage in Danger. It will still be necessary to prepare a complete periodic report on the state of conservation of these properties.
- (II.vi) This section should be completed for each individual World Heritage property. States Parties are invited to provide information under the following headings:

### **II.1. Introduction**

- a. State Party
- b. Name of World Heritage property
- c. Geographical coordinates to the nearest second
- d. Date of inscription on the World Heritage List

- e. Organization(s) or entity(ies) responsible for the preparation of the report
- f. Date of report
- g. Signature on behalf of State Party

## **II.2. Statement of Significance**

At the time of inscription of a property on the World Heritage List, the World Heritage Committee indicates its World Heritage values by deciding on the criteria for inscription. Please indicate the justification for inscription provided by the State Party, and the criteria under which the Committee inscribed the property on the World Heritage List.

In the view of the State Party, does the statement of significance adequately reflect the World Heritage values of the property or is a re-submission necessary? This could be considered, for example, to recognise cultural values of a natural World Heritage property, or vice-versa. This may become necessary either due to the substantive revision of the criteria by the World Heritage Committee or due to better identification or knowledge of specific outstanding universal values of the property.

Another issue that might be reviewed here is whether the delimitation of the World Heritage property, and its buffer zone if appropriate, is adequate to ensure the protection and conservation of the World Heritage values embodied in it. A revision or extension of the boundaries might be considered in response to such a review.

If a statement of significance is not available or incomplete, it will be necessary, in the first periodic report, for the State Party to propose such a statement. The statement of significance should reflect the criterion (criteria) on the basis of which the Committee inscribed the property on the World Heritage List. It should also address questions such as: What does the property represent, what makes the property outstanding, what are the specific values that distinguish the property, what is the relationship of the site with its setting, etc.? Such statement of significance will be examined by the Advisory Body(ies) concerned and transmitted to the World Heritage Committee for approval, if appropriate.

## **II.3. Statement of authenticity/integrity**

Under this item it is necessary to review whether the values on the basis of which the property was inscribed on the World Heritage List, and reflected in the statement of significance under item II.2 above, are being maintained.

This should also include the issue of authenticity/integrity in relation to the property. What was the evaluation of the authenticity/integrity of the property at

the time of inscription? What is the authenticity/integrity of the property at present?

Please note that a more detailed analysis of the conditions of the property is required under item II.6 on the basis of key indicators for measuring its state of conservation.

#### **II.4. Management**

Under this item, it is necessary to report on the implementation and effectiveness of protective legislation at the national, provincial or municipal level and/or contractual or traditional protection as well as of management and/or planning control for the property concerned, as well as on actions that are foreseen for the future, to preserve the values described in the statement of significance under item II.2.

The State Party should also report on significant changes in the ownership, legal status and/or contractual or traditional protective measures, management arrangements and management plans as compared to the situation at the time of inscription or the previous periodic report. In such case, the State Party is requested to attach to the periodic report all relevant documentation, in particular legal texts, management plans and/or (annual) work plans for the management and maintenance of the property. Full name and address of the agency or person directly responsible for the property should also be provided.

The State Party could also provide an assessment of the human and financial resources that are available and required for the management of the property, as well as an assessment of the training needs for its staff.

The State Party is also invited to provide information on scientific studies, research projects, education, information and awareness building activities directly related to the property and to comment on the degree to which heritage values of the property are effectively communicated to residents, visitors and the public. Matters that could be addressed are, among other things: is there a plaque at the site indicating that the property is a World Heritage property? Are there educational programmes for schools? Are there special events and exhibitions? What facilities, visitor centre, site museum, trails, guides, information material etc. are made available to visitors? What role does the World Heritage designation play in all these programmes and activities?

Furthermore, the State Party is invited to provide statistical information, if possible on an annual basis, on income, visitor numbers, staff and other items if appropriate.

On the basis of the review of the management of the property, the State Party may wish to consider if a substantive revision of the legislative and administrative provisions for the conservation of the property is required.

## **II.5. Factors affecting the property**

Please comment on the degree to which the property is threatened by particular problems and risks. Factors that could be considered under this item are those that are listed in the nomination format, e.g. development pressure, environmental pressure, natural disasters and preparedness, visitor/tourism pressure, number of inhabitants.

Considering the importance of forward planning and risk preparedness, provide relevant information on operating methods that will make the State Party capable of counteracting dangers that threaten or may endanger its cultural or natural heritage. Problems and risks to be considered could include earthquakes, floods, land-slides, vibrations, industrial pollution, vandalism, theft, looting, changes in the physical context of properties, mining, deforestation, poaching, as well as changes in land-use, agriculture, road building, construction activities, tourism. Areas where improvement would be desirable, and towards which the State Party is working should be indicated.

This item should provide up-to-date information on all factors which are likely to affect or threaten the property. It should also relate those threats to measures taken to deal with them.

An assessment should also be given if the impact of these factors on the property is increasing or decreasing and what actions to address them have been effectively taken or are planned for the future.

## **II.6. Monitoring**

Whereas item II.3 of the periodic report provides an overall assessment of the maintenance of the World Heritage values of the property, this item analyses in more detail the conditions of the property on the basis of key indicators for measuring its state of conservation.

If no indicators were identified at the time of inscription of the property on the World Heritage List, this should be done in the first periodic report. The preparation of a periodic report can also be an opportunity to evaluate the validity of earlier identified indicators and to revise them, if necessary.

Up-to-date information should be provided in respect of each of the key indicators. Care should be taken to ensure that this information is as accurate and reliable as

possible, for example by carrying out observations in the same way, using similar equipment and methods at the same time of the year and day.

Indicate which partners if any are involved in monitoring and describe what improvement the State Party foresees or would consider desirable in improving the monitoring system.

In specific cases, the World Heritage Committee and/or its Bureau may have already examined the state of conservation of the property and made recommendations to the State Party, either at the time of inscription or afterwards. In such cases the State Party is requested to report on the actions that have been taken in response to the observations or recommendations made by the Bureau or Committee.

## **II.7. Summary of conclusions and recommended actions**

The main conclusions under each of the items of the state of conservation report, but in particular as to whether the World Heritage values of the property are maintained, should be summarized and tabulated together with:

- a. Main conclusions regarding the state of the World Heritage values of the property (see items II.2. and II.3. above)
- b. Main conclusions regarding the management and factors affecting the property (see Items II.4 and II.5. above)
- c. Proposed future action/actions
- d. Responsible implementing agency/agencies
- e. Timeframe for implementation
- f. Needs for international assistance

The State Party is also requested to indicate what experience the State Party has obtained which could be relevant to others dealing with similar problems or issues. Please provide names of organizations or specialists who could be contacted for this purpose.

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